

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
30 October 2003 (30.10.2003)

PCT

(10) International Publication Number  
**WO 03/088875 A1**

(51) International Patent Classification<sup>7</sup>: **A61F 2/24**

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(21) International Application Number: PCT/US03/12073

(22) International Filing Date: 18 April 2003 (18.04.2003)

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(25) Filing Language: English

(26) Publication Language: English

(81) Designated States (*national*): AU, CA, JP.

(30) Priority Data:  
10/125,811 18 April 2002 (18.04.2002) US

(84) Designated States (*regional*): European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR).

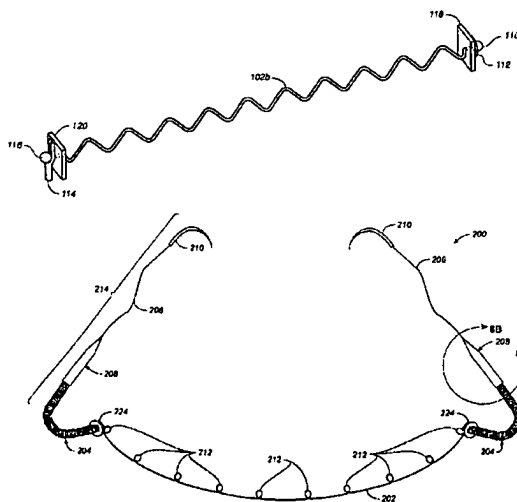
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Published:  
— with international search report

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ANNULOPLASTY APPARATUS AND METHODS



(57) Abstract: An annuloplasty system (100) for repairing a valve in a patient's heart comprises a surgical implant (102) including a member having first and second end portions. The implant member further is configured and/or adapted to form a partial ring along a portion of one of the valve annulae of a patient's heart such as the mitral or tricuspid valve annulus. The implant member is axially elastic such that it can axially expand and contract and includes first and second anchors (112, 114) extending from the end portions of the implant member. The anchors are adapted to anchor the implant in tissue such as the mitral or tricuspid valve annulus. The system can facilitate tissue plication (e.g., of the posterior annulus of the mitral valve or the annulus of the tricuspid valve) and reinforcement of a valve annulus. A remote release mechanism (108) also is provided for remotely releasing anchors or clips as well as a tissue connector assembly including such a remote release mechanism.

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## ANNULOPLASTY APPARATUS AND METHODS

### FIELD OF THE INVENTION

5           The invention relates to heart valve repair and particularly to annuloplasty apparatus and methods. The invention is especially useful in mitral valve annuloplasty procedures, which generally involve mitral insufficiency (e.g., regurgitation when the mitral valve does not properly close).

### BACKGROUND OF THE INVENTION

10           Essential to normal heart function are four heart valves, which allow blood to pass through the four chambers of the heart in one direction. The valves have either two or three cusps, flaps, or leaflets, which comprise fibrous tissue that attaches to the walls of the heart. The cusps open when the blood flow is flowing correctly and then close to form a  
15   tight seal to prevent backflow.

          The four chambers are known as the right and left atria (upper chambers) and right and left ventricles (lower chambers). The four valves that control blood flow are known as the tricuspid, mitral, pulmonary, and aortic valves. In a normally functioning heart, the tricuspid valve allows one-way flow of deoxygenated blood from the right upper  
20   chamber (right atrium) to the right lower chamber (right ventricle). When the right ventricle contracts, the pulmonary valve allows one-way blood flow from the right ventricle to the pulmonary artery, which carries the deoxygenated blood to the lungs. The mitral valve, also a one-way valve, allows oxygenated blood, which has returned to the left upper chamber (left atrium), to flow to the left lower chamber (left ventricle). When the left ventricle  
25   contracts, the oxygenated blood is pumped through the aortic valve to the aorta.

          Certain heart abnormalities result from heart valve defects, such as valvular insufficiency. For example, mitral valve insufficiency, also known as mitral regurgitation, is a common cardiac abnormality where the mitral valve leaflets do not completely close when the left ventricle contracts. This allows blood to flow back into the left atrium, which then  
30   requires the heart to work harder as it must pump both the regular volume of blood and the

blood that has regurgitated back into the left atrium. Obviously, if this insufficiency is not corrected, the added workload can eventually result in heart failure.

One option to correct valve defects is complete valve replacement. This intervention, however, is quite invasive and traumatic. There are more conservative surgical interventions that are less traumatic than implanting valvular prostheses. These approaches include valve leaflet repair, chordae tendinae shortening or replacement, and or valve annulus repair also known as annuloplasty. One example where annuloplasty procedures have been developed is in the field of mitral valve insufficiency correction.

Mitral valve insufficiency typically results from a change in the size and shape of the mitral valve annulus. Mitral valve annuloplasty involves reestablishing the normal shape and size of the mitral valve annulus so that it can effect full closure of the valve leaflets.

There have been a number of annuloplasty approaches to repair the mitral annulus of a patient's heart. Dr. Norberto G. De Vega developed a procedure in the early 1970s. One laces a suture along the periphery of a compromised portion of the heart valve. The suture is drawn in a "purse string" manner to cinch the tissue and reduce the size of the valve opening. Then the suture ends are knotted. Although the procedure can reduce the size of the valve opening and improve valve efficiency, it is not free from drawbacks. One disadvantage of this approach is that the sutures can pull out of the tissue and "guitar sting" across the valve annulus. The purse string also may cause tissue bunching, which may distort the natural shape of the valve.

Other approaches to improve valve function (e.g., with the mitral or tricuspid valves) include tissue plication devices and reinforcement of the valve annulus with annuloplasty rings. These approaches also are claimed to reestablish the original annulus size and shape and/or prevent further annulus dilation.

Both rigid and flexible annuloplasty rings have been developed. Rigid rings, which generally tend to dictate the shape and contour of the mitral valve annulus, have been considered to somewhat compromise the natural flexibility of the annulus. Flexible annuloplasty rings emerged to provide some degree of compliance in the valve annulus so that the valve could maintain normal physiological motion throughout the cardiac cycle of a beating heart. This is in addition to providing annulus reinforcement. However, it is believed that among the drawbacks of these rings is that they may fold or crimp during implantation and thereby undesirably reduce the size of the valve (e.g., mitral) opening.

Also, the sutures used to secure the ring may cause scarring and stiffening of the valve annulus and reduce annulus flexibility over time.

C-shaped bands or partial annuloplasty rings also have been developed. These devices can be attached solely to the posterior portion of the valve annulus which eliminates the need to attach material to the anterior portion of the annulus. The annulus is fibrous and generally does not require plication and/or reinforcement. Thus, the partial rings can preserve the normal function of the anterior portion of the annulus. Full and partial ring devices are disclosed, for example, in U.S. Patent No. 3,656,185, which issued to Carpentier.

Other attempts to improve upon valve repair procedures, including the De Vega approach and the use of rigid, flexible, and partial rings, include that described in U.S. Patent No. 5,450,860, which issued to O'Connor, U.S. patent No. 6,183,512B1, which issued to Howanec, Jr. et al., and U.S. patent No. U.S. 6,250,308B1, which issued to Cox.

The O'Connor patent discloses a plication approach, particularly suitable for use with an annuloplasty operation on heart valves (e.g., mitral or tricuspid valves). The approach involves a ligament, which can comprise a wide, flexible strip of expanded polytetrafluorethylene or similar material, and sutures to retain the ligament in place. The ligament has at least an end of constricted diameter and a needle attached thereto, or it can have two constricted ends and a needle attached to each of the ends. This construction permits the ligament to be drawn through an area of tissue to be plicated. Once in place, a first end of the ligament is anchored, preferably with sewing of conventional sutures through the ligament, and the tissue is cinched along the length of the ligament to provide the desired amount of plication. Once the tissue is correctly oriented, the second end of the ligament is then likewise anchored in place, again preferably through the use of a suture sewn through the ligament.

The Howanec patent describes a system that includes an elongate flexible band with a needle attached to one end of the band and a fit adjuster attached to the other end of the band. The needle is used to introduce the band into the atrioventricular groove (hereafter "AV groove") and then pull a portion of the band out of the tissue. After the band is so implanted into the AV groove, a fit adjuster is used to couple the exposed ends of the band and size and position the band in the annulus. After the band is pulled to cinch the tissue in the AV groove until the valve annulus is reconfigured to an optimal shape, the band can be secured to the valve annulus with sutures and the exposed portions of the annuloplasty system removed.

The Cox patent describes a system that comprises a combined annuloplasty ring implant, which has a rigid section and a flexible section. A needle is coupled to one end of the implant. The needle facilitates introducing the implant into the fatty pad of the AV groove, which surrounds the valve annulus, at one end of the posterior portion of the annulus and pulling one end portion of the implant out of the AV groove in the vicinity of the other end of the posterior portion of the annulus. The flexible section of the ring extends adjacent to the flexible posterior portion of the annulus, while the rigid section of the ring spans the substantially rigid inter-trigone section of the annulus. Cox advances that with this procedure one need not suture the flexible section directly to the mitral valve annulus, thereby substantially eliminating scarring and stiffening of the annulus. In one example, the flexible material is also elastic to accommodate the expansion and contraction of the annulus, in addition to flexing. The system further includes means for joining the ends of the ring, which are positioned along the inter-trigone section, after the needle is removed. Sutures can be added to secure the annuloplasty ring to the annulus, for example, along the inter-trigone section.

Other plication and valve repair approaches are disclosed in PCT International Patent Application Nos. PCT/US01/42653 and PCT/US01/31709, which are co-owned by the assignee of the present invention and entitled "Minimally Invasive Annuloplasty Procedure and Apparatus" and "Minimally Invasive Valve Repair Procedure and Apparatus," respectively. These approaches, in-part, address various inherent disadvantages with prior open heart surgical procedures as described, for example, by F. Maisano, et al. in their article entitled "The double-orifice technique as a standardized approach to treat mitral regurgitation due to severe myxomatous disease" which appeared in European Journal of Cardio-thoracic Surgery, Vol. 17 (2000) 201-205. Disadvantages associated with such open-heart procedures include cumbersome suture management, timely knot tying steps, pain, and long recovery time.

Generally, known annuloplasty ring and band recipients are required to undergo anticoagulation therapy for a minimum of several months post-operatively due to the high risk of prosthesis-induced thrombosis. However, anticoagulation therapy increases the risk of bleeding complications due to the inhibition of blood clot formation.

Applicants believe that there remains a need for improved valvular repair apparatus and methods.

## SUMMARY OF THE INVENTION

The present invention involves annuloplasty systems that avoid problems and disadvantages of the prior art. The present invention involves an annuloplasty system for repairing a valve in a patient's heart. The system comprises a surgical implant, which  
5 includes a member having first and second end portions. The implant member further is configured and/or adapted to form a partial ring along a portion of one of the valve annulae of a patient's heart such as the mitral or tricuspid valve annulus. The implant member is axially elastic such that it can axially expand and contract and includes first and second  
10 anchors extending from the end portions of the implant member to anchor the implant in tissue such as the mitral or tricuspid valve annulus. The system can facilitate tissue plication (e.g., of the posterior annulus of the mitral valve or the anterior annulus of the tricuspid valve) and reinforcement of a valve annulus.

The partial ring configuration may reduce or minimize the risk of stenosis as compared to more bulky systems using full rings. This configuration also can reduce the  
15 amount of prosthetic material that is exposed to blood flow, thus, minimizing or eliminating the requirement for post-operative anticoagulation. Further, since the ends are not joined, the surgeon need not place anything on the anterior portion of the annulus (in the case of mitral valve repair), which otherwise could obstruct flow intake.

According to another aspect of the annuloplasty system, clips can be used in  
20 lieu of sutures to anchor or fasten the implant in the desired position. This eliminates cumbersome suturing approaches, simplifies implantation as compared to conventional methods, and facilitates minimally invasive (e.g., endoscopic) approaches to valve annuloplasty (e.g., mitral or tricuspid valve annuloplasty).

According to one embodiment of the invention, the implant member has a  
25 small cross-sectional dimension, but it is curved to form an implant of much greater overall transverse dimension or diameter. In this embodiment, the implant member can comprise a wire formed to have, for example, an undulating configuration adapted for implantation within the valve annulus. The implant wire with a wire diameter, for example, can range from about 0.002 to 0.062 inches, yet have an overall transverse dimension (measured from  
30 peak to trough) of about 0.010 to 0.375 inches. Preferably, the overall transverse dimension, which also may be described as the width or amplitude of the undulating member, taken along a portion of the implant is about 5 to 10 times greater than the implant wire diameter. This construction facilitates implant stability and proper implant orientation with respect to

the annulus, while minimizing implant bulk, which, in turn, can reduce or eliminate the risk of prostheses induced thrombosis.

The curved wire construction of the present invention also can be configured to provide desirable flexibility so that the implant can comply with annulus flexure during normal cardiac function. The implant also can be configured to be axially elastic or  
5 compliant. With such axial elasticity, the implant can expand and contract to accommodate annulus expansion and contraction during relaxation (i.e., expansion) and contraction of the left ventricle.

According to a further embodiment of the invention, the implant member can  
10 comprise a wire formed to have a plurality of loops formed therein. Anchors or sutures can be attached to the loops and tissue to secure the implant member to the tissue. The wire diameter typically is about 0.002 to 0.062 inches and the diameter of the loops preferably range from about 0.010 to 0.050 inches. As the annulus is secured to the loops, it conforms to the implant shape, which can be configured to reshape the annulus toward or to its original  
15 size and shape to improve or correct cardiac function.

According to a further aspect of the invention, a needle can be releasably coupled to one end of the implant. The needle simplifies implant delivery and avoids the need for time-consuming suture procedures.

According to another embodiment of the invention, an annuloplasty system  
20 for repairing a valve in a patient's heart comprises a surgical implant, a needle, a flexible member, and a coupling, the implant is coupled to the coupling, the coupling is secured to the flexible member, and the flexible member is secured to the needle. The implant includes a wire member adapted to form a partial ring along a portion of one of the valve annulae of a patient's heart and axially elastic such that it can axially expand and contract in response to  
25 cardiac movement. The implant wire member has a plurality of curves formed therein.

According to another embodiment, a remote release mechanism is provided comprising a holding mechanism having a closed configuration adapted for holding a self-closing clip, and an open configuration for releasing the self-closing clip. The remote release mechanism further comprises a tubular member slidably mounted over said holding  
30 mechanism for retaining said holding mechanism in said closed configuration.

With this arrangement, the remote release mechanism can have a self-closing clip held thereby. In a further embodiment, the remote release mechanism can include a wire secured to the holding mechanism and extending therefrom for removing the holding

mechanism from the self-closing clip when the holding mechanism is in the open configuration.

According to another embodiment, a tissue connector assembly is provided comprising a needle, a tubular flexible member having one end attached to the needle, a self-closing clip, and a remote release mechanism releasably holding the self-closing clip. The remote release mechanism comprising a holding mechanism and a tubular member. The holding mechanism having a closed configuration adapted for holding the self-closing clip and an open configuration for releasing the self-closing clip. The tubular member being slidably mounted over the holding mechanism for retaining the holding mechanism in the closed configuration. The holding mechanism tubular member having a portion slidably disposed in the tubular flexible member.

According to another embodiment, the tissue connector assembly can include a wire extending from the needle to the remote release mechanism where it is secured to the holding mechanism for removing the holding mechanism from the self-closing clip when the holding mechanism is in the open configuration.

The above is a brief description of some deficiencies in the prior art and advantages of the present invention. Other features, advantages, and embodiments of the invention will be apparent to those skilled in the art from the following description, accompanying drawings, wherein, for purposes of illustration only, specific forms of the invention are set forth in detail.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a perspective view of an annuloplasty system constructed in accordance with the present invention.

FIG. 1B is a longitudinal sectional view of the annuloplasty system depicted in FIG. 1.

FIG. 1C is a variation of the annuloplasty system of FIG. 1.

FIGS. 2A, 2B, 2C, and 2D diagrammatically show release of the implant illustrated in FIG. 1.

FIG. 3A is a perspective view of the pivotally mounted retainer illustrated in longitudinal and transverse positions in FIG 2A-2D.

FIG 3B is an end view taken along line 3B-3B in FIG 3A.



FIG. 3C is a sectional view taken along line 3C-3C in FIG 3A.

FIGS. 4A and 4B depict a straight and curved embodiment of the implant shown in FIG. 1A.

FIGS. 5A, 5B, 5C, 5D, and 5E diagrammatically illustrate a method using of  
5 the annuloplasty system of FIG. 1.

FIGS. 6A, 6B, 6C, 6D, and 6E diagrammatically illustrate another method of using the annuloplasty system of FIG. 1A.

FIGS. 7A, 7B, 7C, and 7D diagrammatically illustrate a further method of using the annuloplasty system of FIG. 1A.

10 FIG. 8A shows another annuloplasty system in accordance with principles of the present invention.

FIG. 8B is a sectional view of the release mechanism of FIG. 8A taken along line 8B-8B.

FIG. 8C is a sectional view of taken along line 8C-8C in FIG. 8B.

15 FIG. 9 diagrammatically illustrates one juncture configuration between one of the surgical clips and the implant member of FIG. 8A.

FIGS. 10A, 10B, 10C, 10D, and 10E show a method of using the annuloplasty system of FIG. 8A.

20 FIG. 11 is a perspective view of the delivery and release apparatus of FIG 1 coupled to a self-closing clip such as the self-closing clip of FIG. 11.

FIGS. 12A, 12B, 12C, and 12D diagrammatically illustrate the operation of one release apparatus for use with the system of FIGS. 1 or 11.

FIGS. 13A, 13B, 13C, and 13D diagrammatically illustrate the operation of another release apparatus for use with the system of FIGS. 1 or 11.

25 FIGS. 14A, 14B, 14C, and 14D diagrammatically illustrate the operation of yet another release apparatus for use with the system of FIGS. 1 or 11.

#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Referring to the drawings wherein like numerals indicate like elements, FIG.  
30 1 illustrates an annuloplasty system 100 constructed in accordance with the principles of the invention. Annuloplasty system 100 generally comprises an implant member 102, a flexible member 104, and a needle 106. In the illustrated embodiment, system 100 also includes anchors or stoppers 112 (FIG. 1A) and 114 (FIG. 1B) and a release mechanism 108 to releasably couple the implant to the flexible member.

The distal end of the implant member may have an enlarged portion 110 as shown in the drawings. A stopper or anchor 112, preferably in the form of a disc and preferably welded to the distal end of the implant member, may be provided adjacent to the enlarged portion 110. Similarly, another stopper or anchor 114 may be provided adjacent to the implant's proximal enlarged portion 116 as shown in FIG. 1B. Stopper or anchors 112 and 114 also may be referred to as retainers. Stopper 114 will be described in further detail in the discussion of FIGS. 2A-2D and 3A-3C. Pledgets 118 and 120 (see e.g. FIG. 2B), which may comprise any suitable material such as TEFLON® polytetrafluoroethylene material or DACRON® synthetic polyester textile fiber, also may be coupled to the implant adjacent to the stoppers to minimize or eliminate the risk of having the implant tear the tissue in which it is embedded.

Referring to FIG. 1B, release mechanism 108 generally includes a plurality of arms or cables 122, which releasably engage enlarged portion 116 of implant 102, and a sleeve 124 that retains the arms 122 in a closed configuration such that enlarged portion 116 is locked or secured therein. Arms 122 have notches 126 and 128 (FIG. 2D) formed therein to form inner annular grooves 130 and 132, respectively. Accordingly, arms 122 can be arranged in a circular manner as shown in FIG. 8C. Annular groove 130 holds or retains enlarged portion 116 and annular groove 132 holds or retains enlarged portion 134, which is formed on the end of cable or wire 136, which, in turn, is secured to needle 106. A band 138 is fit into an outer annular channel 140 (FIG. 2C), which is formed by forming notches 142 in the outer surface of cables or arms 122. Band 138 retains the portion of the bundle of cables or arms 122 adjacent thereto tightly together so that enlarged portion 134 remains secured therein.

A flexible tubular member 104 is provided between needle 106 and release mechanism sleeve 124. Specifically, one end of tubular member 104 receives one end of release mechanism sleeve 124. Release mechanism sleeve 124 is sufficiently flexible so that it can slide within tubular member 104 as it is retracted or removed from the bundle of cables or arms 122 to release enlarged portion 116 and, thus, implant member 102 as will be described in more detail below. The other end of tubular member 104, together with the end of wire 136 is inserted in a recess 146 (FIG. 1B) formed in the needle and secured therein such as by swaging.

Referring to FIG. 1C, a variant of the system illustrated in FIG. 1B is shown where tubular member 104 is eliminated and the tubular sleeve 124 of the release mechanism 108 is directly coupled to the needle. In this embodiment a needle 106' is formed with a

deep recess 146' so that release mechanism sleeve 124 can sufficiently slide into the recess and be sufficiently removed from the cable bundle to release enlarged portion 116 and, thus, implant 102.

Returning to the embodiment of FIGS. 1A and 1B, FIGS. 2A-2D sequentially  
5 depict release of implant member 102, which in the illustrated embodiments includes straight portion 102(a) and undulating portion 102(b) the length of which is indicated in FIG. 2D with reference characters "a" and "b," respectively. After the implant member is positioned in the desired location, the surgeon or assistant can slide pledget 120 over needle 106, tube 104, and release mechanism 108 (FIG. 2A) so that it can be positioned adjacent to  
10 undulating portion 102(b) of the implant prior to actuating release of the implant member (FIG. 2B). Sleeve 124 is retracted and drawn into tubular member 104 first releasing pivotally mounted stopper or anchor 114, which also may be referred to as a retainer, so that it may pivot to a transverse position relative to the wire of which the illustrated implant comprises. As sleeve 124 is further retracted, it releases arms 122 of release mechanism  
15 108, which in turn release enlarged portion 116 of implant member 102 (FIG. 2D). Since sleeve 124 biases arms 122, which normally assume the radially outward expanded configuration shown in FIG. 2D, to the closed configuration shown in FIGS. 2A-C, the arms open as shown in FIG. 2D when sleeve 124 is retracted.

Referring to FIGS. 3A-C, proximal stopper or anchor 114, which also may be  
20 referred to as a retainer, is shown in further detail. Stopper 114 can be formed from a tube by removing two half tubular sections as shown in the drawings. One can remove one half tubular section along one section of the tube and another half tubular section along another section of the tube on the other side thereof as illustrated in FIGS. 3A-C. As shown, surfaces 112(a) and 112(b) can be angled to simplify the material removal process in  
25 forming stopper 112.

Although a particular implant configuration has been shown, other configurations can be used without departing from the scope of the invention. Referring to FIG. 4A, undulating portion 102(b) of implant 102 can comprise a wire, which is formed so that it is generally two-dimensional (flat or planar) and straight as previously shown prior to  
30 implantation. Alternatively, a curved, arc-shaped, or crescent shaped undulating wire member that is generally two-dimensional (flat or planar) can be used for implantation as shown in FIG. 4B. These configurations afford orientation stability when embedded in a mitral valve annulus, for example and as will be further described below, while minimizing the size or bulk of the implant. It is believed that the reduced valve implant bulk can reduce

the risk of thrombosis. Although the undulating portion also may be formed so that it has two and three dimensional portions or so that is entirely three dimensional, the two dimensional variation is believed to offer optimal stability.

The wire diameter can vary from application to application. For example, when applied to normal human mitral valves, it can range from about .002 to 0.062 inches, more preferably in the range of about 0.005 to 0.015 inches, and typically will be about 0.089 inches. The wire diameter range is the same when applied to tricuspid valves. The transverse dimension or width "W" (FIG. 2C) of the undulating member can range from about 0.010 to 0.375 inches and thus can be 5 to 10 times greater than the wire diameter. The implant length also can vary depending on the application. When used for mitral annuloplasty it is embedded in the annulus from one trigone to the other trigone. Therefore, its length ranges from about 25 to 85mm when applied to normal adult human mitral valves. When applied to human tricuspid valves it is implanted along the posterior annulus and extends in a circumferential direction from trigone to trigone, and its length can be in the same ranges.

The implant or implant wire preferably comprises a shape memory alloy or elastic material. As is well known in the art, shape memory material has thermal or stress relieved properties that enable it to return to a memory shape. When stress is applied to shape memory alloy material causing at least a portion of the material to be in its martensitic form, it will retain its new shape until the stress is relieved. Then it returns to its original, memory shape. On the other hand, when shape memory material is cooled to where it is in its martensitic form and then deformed, it retains the deformed shape until its temperature is increased so that the material becomes austenitic. Then it returns to its original, memory shape. One preferred shape memory material for the implant member is nitinol.

The shape memory wire (e.g., nitinol) can be shape set into the undulating configuration by weaving the wire through a fixture having a row of rods and affixing the two ends of the nitinol wire under tension. Alternatively, the nitinol wire can be shape set by press molding using a mold with a crimped pattern. The heat treatment to permanently set the shape of the nitinol wire can be achieved by heat-treating in either a convection oven or bath at a temperature range of 100 to 600°C for a duration of 2 to 20 minutes. In assembling the system the distal stopper can be welded to one end of the shape set imbedded wire. The retractable stopper is loaded onto the proximal end of the imbedded wire. A ball is formed onto the proximal end of the imbedded wire by welding. The release mechanism is assembled with a flexible member and a taper component to transition from the flexible

member to the release mechanism. The release mechanism is attached to the ball of the imbedded wire at the proximal end and the retractable stopper is placed into its retracted position within the release mechanism component. Then, a needle is swaged onto the flexible member.

5                   Referring to FIGS. 5A-5D, an exemplary method of using annuloplasty system 100 for mitral valve annuloplasty is shown in accordance with the present invention. As noted above, a competent mitral valve (MV) allows one-way flow of oxygenated blood that has entered the left atrium from the lungs to enter the left ventricle. The left ventricle then pumps the oxygenated blood to the rest of the body.

10                   Referring to FIG. 5A, the mitral valve (MV) comprises a pair of leaflets, the anterior leaflet (AL) and the posterior leaflet (PL) of which the latter is larger. The base of each leaflet is attached to the mitral valve annulus (MVA). The mitral valve annulus includes a posterior portion (PP) and an anterior portion (AP) also known as the inter-trigone section, which is a generally straight substantially rigid section. The posterior portion of the  
15 annulus is a flexible, curved section that encompasses a larger portion of the annulus circumference than the anterior portion. The right and left fibrous trigones (generally indicated with reference characters RT and LT) mark the end of the generally straight section (inter-trigone section) and define the intersection points between the posterior and anterior portions (PP, AP).

20                   The leaflets open and close in response to pressure differences on either side of thereof. However, when the leaflets do not fully close, regurgitation and valve insufficiency can result. One method to treat the insufficiency using the annuloplasty system of FIG. 1 will be described with reference to FIGS. 5B-5E.

                  Referring to FIG. 5B, needle 106 of annuloplasty system 100 is passed  
25 though the endocardium and the left atrial myocardial wall and into the right fibrous trigone (RT). The needle is then moved in a clockwise direction through the fibrous structure of mitral valve annulus toward the left fibrous trigone (LT). At the left fibrous trigone (LT), the needle is passed back through the left atrial myocardial wall from the epicardium and back through the endocardium at the left fibrous trigone (FIG. 5C). The needle is further  
30 drawn from the annulus until the release mechanism is fully withdrawn from the annulus and above the tissue surface. This preloads the implant wire and plicates the annulus. Pledget 120 is drawn over the needle and slid over the flexible member and release mechanism and then positioned between the undulating implant member and the release mechanism as described above. The surgeon withdraws sleeve 124, thereby releasing implant member 102

from the release mechanism 108, flexible member 104, and needle 106, and deploying proximal retainer or anchor 112 so that it opens to its active position as previously shown in FIGS. 2C and 2D and here in FIG. 5E where both retainers or anchors are firmly set at the fibrous trigones. Alternatively, the needle can be introduced through the left fibrous trigone and withdrawn from the right fibrous trigone.

Referring to FIG. 5E, the undulating wire is fully embedded within the valve annulus with the anterior and posterior leaflets restored in a sealed configuration. The only non-embedded, blood contacting components are the anchors or retainers 112 and 114, which are positioned at the two fibrous trigones (RT, LT). Due to the implant wire's undulating configuration, the wire can be elongated in the axial direction. In the elongated condition (partially in FIG. 5C and fully in FIG. 5D), the wire, which has shape memory to regain its original unloaded length, applies a recoil force to draw the two ends of the implant together in the axial direction. In the implanted condition where the undulating wire is stressed to an elongated configuration by threading through the tissue, the shape memory force draws the annulus together resulting in tissue plication and a reduction in annulus size (FIG. 5C). In sum, the procedure generally provides annuloplasty plication and reinforcement, while maintaining annular compliance.

Referring to FIGS. 6A-6E, a variation on the procedure described above is illustrated. This procedure is the same as that shown in FIGS. 5A-5E with the exception that needle 106 is not drawn through the entire posterior annulus in a single pass. In this case, the surgeon makes multiple bites (see FIGS. 6B and 6C) with the needle to cover the distance of the posterior annulus. The procedure is completed in the same way as that described above (FIGS. 6D & E are the same as FIGS. 5D & E).

Referring to FIGS. 7A-7D, a further variation on the procedures described above is illustrated. In this procedure, multiple undulated implants are used to span the length of the posterior annulus. In this example, two implants are used to span the annulus. The initial needle penetration occurs at either fibrous trigone. A needle bite length segment of wire is terminated with each needle bite resulting in the plication of discrete sections of the annulus. Subsequent wire segments are penetrated at and are linked to the terminating distal retainer 112. In this manner, separate, but joined wire segments span the posterior annulus to the opposite fibrous trigone resulting in the plication of the entire posterior annulus and reduction in annular size.

In the embodiments described above, the implant member returns to its memory shape upon stress release (i.e., actuation of release mechanism 108). As the implant

is inserted, the tissue and pulling forces placed on the device to pull it into position cause it to axially expand. Once in position, the release mechanism is actuated, thereby removing the pulling force and allowing the implant to axially contract toward its memory shape.

Alternatively, the device can be designed to have thermal properties to return  
5 to its memory shape at a predetermined temperature. It can be deformed at a first temperature to generally remove or reduce the amplitude(s) or period(s) of the undulations and then inserted into the tissue. After insertion, its temperature rises to the predetermined temperature and it assumes its original, undulating memory configuration. As it returns to its memory shape, it axially contracts and decreases the circumferential dimension of the  
10 valve annulus.

Although particular configurations have been illustrated, other configurations can be used without departing from the scope of the invention. For example, the wire can be flat. The undulations can have varying or changing amplitude or frequency. The radius of the crests and troughs also can vary from implant to implant or within a single implant.  
15 Further, the implant wire can be a single length of wire as shown in the drawings or it can be made up of multiple lengths of wire joined together.

The undulating implant can provide high strength and elasticity to material volume (or diameter) ratio. The implant configuration and construction can provide desirable elasticity that allows for physiological motion in the linear direction (annular  
20 dilatation) and planar surface. Since the undulating member can be self-terminating at the trigones, it does not require knot tying, connectors, or cutting. The implant can be less traumatic to the annular tissue as compared to other devices. For example, it does not require multiple suture passes. The implant configuration and placement also can minimize the amount of implant surface that comes into contact with blood flow.

Referring to FIG. 8A, another embodiment of the invention is shown and generally indicated with reference numeral 200. Annuloplasty system 200 generally comprises an implant member 202, anchors comprising or in the form of surgical clips 204 coupled to ends of implant member 202, flexible members or wires 206, release mechanisms 208, which releasably couple the flexible members to implant member 202, and tissue  
25 piercing members or needles 210, which are secured to the flexible members or wires 206.  
30

Implant member 202 can be straight (not shown), or crescent or arc-shaped so as to form a partial ring as shown in FIG. 8A. Implant member 202 has a plurality of attachment loops 212 formed therein such as by folding the wire of which the implant comprises according to this embodiment. Alternatively, loops 212 may be separately formed

and secured to implant member 202 by welding, soldering or other suitable process.  
Preferably, the loops are equidistantly spaced from one another.

With the exception of one of the surgical clip ends being secured to implant member 202, each anchor-clip, release mechanism, flexible member and needle combination  
5 forms a tissue connector assembly 214 similar to tissue connector assemblies described in U.S. Patent Application Serial Nos. 09/089,884 and 09/090,305 both filed June 3, 1998 and Serial Nos. 09/259,705 and 09/260,623 both filed March 1, 2000 and International  
Application Nos. PCT/US99/12563 and PCT/US99/12566 both filed June 3, 1999 and published under International Publication Nos. WO 99/62409 and WO 99/62406, all of  
10 which are hereby incorporated by reference herein. Although one tissue connector assembly configuration is shown herein, any other suitable assemblies described in the applications cited in the preceding sentence can be used.

The applications cited in the previous paragraph describe tissue connector assemblies having self-closing clips, which can be characterized as having two end points,  
15 which tend to come closer together either by elasticity or so-called pseudoelasticity. Such a clip may be made by heat-treating a NiTi wire to a certain temperature and time to have a desired undeformed shape. The surgical clip generally comprises a wire, preferably, comprise shape memory alloy. In the present invention, each clips preferably has two end points, an unbiased closed configuration, the ability to be moved or biased to an open  
20 configuration, the tendency to return to the naturally closed memory configuration, which reduces the separation between the two end points as compared to the spaced end point orientation when the clip is in an open configuration.

The clips disclosed in aforementioned U.S. and PCT patent applications describe a clip comprising a deformable wire made of a shape memory alloy, which clip can  
25 assume a U-shape when in the open configuration and one example of a suitable clip for this embodiment of the present invention.

Such a clip may be deployed, for example, in the form of a single-arm clip assembly as shown in FIG. 8A and designated with reference numeral 214 and as generally described in the aforementioned U.S. Patent applications Serial Nos. 09/089,884 and  
30 09/090,305, and the section of International Application No. PCT/US99/12566 from page 10, line 10 through page 11, line 21, which section and accompanying FIG.1 is hereby specifically incorporated by reference herein.

The ends of the clip coil 204(b) are constrained with the coil in compression to urge or bias clip wire 204(a) into a generally U-shaped open configuration.



A release mechanism 208, such as disclosed in aforementioned U.S. patent application Serial No. 09/260,623 (or International Application No. PCT/US99/12566, which published on December 9, 1999 under International Publication No. WO 99/62406 is provided so that clip wire 204(a) can readily be released by squeezing the release mechanism  
5 with a surgical instrument. One suitable release mechanism is specifically described in International Application No. PCT/US99/12566 from page 25, line 12 through page 27, line 30 ending with the text "mechanism 23c" (but without the text "such as needle 17 as shown in FIG. 1" on line 27 of page 27) and the referenced figures are hereby incorporated by reference herein. A summary of such a release mechanism is provided below with reference  
10 to FIGS. 8B and 8C.

Referring to FIGS. 8B and 8C, release mechanism 208 generally comprises a plurality of substantially rigid strands, cables or wires 216 (which are the same as cables or strands 122 in FIG. 1B). Cables 208 can be metal and are arranged substantially parallel to one another and circularly about a longitudinal axis. The hidden end portions of the strands  
15 are coupled to tapered section "T," which is coupled to piercing member a needle 210. The strands can be coupled to rod 218, which is fixed to the tapered section. End portions of the strands include notches, which form a chamber 220 for releasably receiving and/or holding enlarged portion "E1" of the clip and/or fastener wire 204(a) which also has an enlarged portion "E2" at its other end to facilitate compression of coil 204(b). According to  
20 International Application No. PCT/US99/12566, *supra*, the notches preferably are placed about 0.015 inches from the free ends of the strands, but this distance can vary depending upon the desired compression on the coil or spring 204(b). A shrink wrap layer 222, preferably in the form of tubing, is provided around at least the free end portions of the strands and the shrink wrap heated to compress against the strands and hold them in place  
25 against enlarged wire portion "E1" to effectively hold the enlarged portion captive until the shrink wrap is squeezed, the strands displaced and the enlarged portion released.

Referring to FIG. 9, implant member 202 and the surgical clip can be formed from a single element or wire. In the embodiment shown in FIG. 8A, a single wire forms the surgical clip and implant member 202. When using a surgical clip such as shown in FIG.  
30 8B, there is no enlarged portion E2. Rather, clip wire 204(a) is long enough to form implant member 202. It can also form a similar clip wire at the other end of the implant as well. In place of enlarged portion E2, the wire can be passed through a washer 224 (FIG.9) or similar device having a hole formed therethrough and the washer secured to the wire by swaging, for example. The washer is placed at a location along the wire to provide the desired

compression of coil 204(b). On the other hand, a clip having an enlarged portion E2 can be used and the enlarged portion E2 secured to the implant member 202 by any suitable means such as welding.

According to one method of making the device, the loops and the general  
5 curve shape of member 202 are made from the same piece of wire. The loops are formed by wrapping the wire around mandrels. The mandrels are arranged in the general curve shape, thus giving the appearance of loops superposed onto a general curve shape. Wire cross section diameters can range from about 0.002 to 0.062 inches. Loop diameters can range from about 0.010 to 0.050 inches. The radius of curvature of the overall implant member  
10 202, having loops formed therein, can range from about 0.25 to 1.25 inches, but can be made to any radius. The straight-line distance between the ends of the implant member 202 (between washers 224) ranges from about 0.5 to 2.5 inches. The length of the implant member (measured from washer 224 to washer 224) can range from about 0.75 to 3.0 inches. The profile is essentially the thickness of prosthetic material attached to the annulus. The  
15 smaller the diameter, the lower the profile. Lower profile may prevent nonphysiological blood flow, which can lead to undesirable hemodynamic effects, e.g., thrombosis, disruption of red blood cells, or slower tissue healing. When the surgical clip is made from the same piece of wire as the multilooped member, the last loop is the one that abuts washer 224, which is passed onto the multiloop member and crimped to act as a stopper for the coil  
20 204(b), which surrounds a portion of the wire that forms the implant member and surgical clip. The release mechanism 208 compresses the coil against washer or constraint 224, which maintains the surgical clip in a U-shaped configuration.

Referring to FIG. 10A-10D, an exemplary mitral valve annuloplasty method using annuloplasty system 200 will be described. In general, implant member 202 is  
25 implanted onto the mitral valve annulus of the target mitral valve such that the implant member or wire is attached to the surface of the annular tissue. In this mitral valve annuloplasty example, the implant member or wire is secured along the posterior annulus with the ends of the implant member secured to the annulus at the two fibrous trigones.

Referring to FIG. 10A, the surgeon first secures tissue connector assemblies  
30 214 at the right and left fibrous trigones. This is accomplished by threading needles 210 into the fibrous structure of the annulus and then drawing the needles out from the annulus sufficiently so that anchors 204 extend out from the annulus at incisions "I." A 5-0 needle can be used in this example. Release mechanisms 208 are squeezed to release the anchors

204 from the release mechanisms 208, flexible members 206, and needles 210 and allow anchors to close as shown in FIG. 10B.

Then discrete or individual tissue connector assemblies 214 are passed through loops 212 (FIG. 10C) and released so that the clips or anchors 204 of the individual  
5 tissue connector assemblies close and secure the loops to the tissue as shown in FIG. 10D, which shows inserting clips 204 radially. According to a variation on the described method, the anchors or clips 204 can be inserted circumferentially as shown in FIG. 10E.

The attachment loops provide elasticity and act as torsion springs. The spring properties generally provide elasticity. In the deployed condition, the implant, having shape  
10 memory to regain its original unloaded length, applies a recoil force to draw the two ends together along the length of the implant. In the implanted condition where the implant wire is loaded to an elongated configuration, the shape memory force draws the annulus together resulting in tissue plication and a reduction in annulus size. The preloaded condition of the implant wire continues to provide a reinforcement force to prevent further dilation of the  
15 valve annulus. Furthermore, the elastic nature of the loops allow for the natural compliance and physiological motion of the annulus.

The low profile characteristic of the implant as compared to conventional annuloplasty rings or bands reduces the amount or volume of prosthetic material that is exposed to blood flow. This can substantially reduce the need for post-operative  
20 anticoagulation therapy.

Although annuloplasty system 200 has been described with self-closing clip type anchors, other surgical clips can be used as anchors such as that disclosed in U.S. Patent No. 5,972,024, which issued to Northrop, III et al. Further, sutures can be used to form the anchors as will be discussed in more detail below.

25 Although a particular system embodiment having two clip anchors, release mechanisms, and delivery needles has been described and illustrated in FIGS. 8A-C, variations of this system can be made within the scope of the invention. For example, only one clip anchor, release mechanism, and delivery member may be used. In this case, the clip anchor with its release mechanism and delivery needle can be coupled to one end of the  
30 implant member as shown in FIG. 8A. The other end of the implant member can be constructed to end with one of the loops illustrated in FIG. 8A. That loop is then sutured to the tissue with conventional suture techniques. Alternatively, it can be secured to the tissue using a surgical clip such as any one of the clips described above.

In yet a further case, both clip anchors and their release mechanisms and delivery needles can be eliminated and both ends of the implant member constructed to end in a loop as described above. Both loops can then be fastened to the tissue using a suture or clip as described with respect to the previous example.

5                   In another variation, the implant member can be a full ring and the loops secured to tissue thereunder.

Referring to FIG. 11, an alternative tissue connector assembly suitable for use with system 200 to secure the implant member ends and/or loops to the valve tissue is shown. The tissue connector assembly 400 generally comprises a needle 106, tubular  
10 flexible member 104, clip or anchor 204 (all of which have been described above) and a remote release mechanism "R." Although the squeeze actuated release mechanism 208 is very effective, a remote release mechanism is especially advantageous where the operative space or field is limited such as in the case of valve annuloplasty. Various remote release mechanisms in accordance with the invention are illustrated in FIGS. 12A-12D, 13A-13D,  
15 and 14A-14D. Generally, the remote release mechanism "R" comprises a holding mechanism, such as the plurality of arms or wires 122 illustrated in FIGS. 2A-D, and a sheath or tubular member for holding the holding mechanism closed, such as tubular member 124 illustrated in FIGS. 2A-D and, therefore can be the same as release mechanism 108.

20                   More specifically, when constructed for holding a clip or anchor, the holding mechanism or member(s) can comprise multiple strands, cables or wires 122 having a radially outward bias as shown in FIGS. 12A-12D, two halves 122' of hypodermic tubing as shown in FIGS 13A-13D (with recesses 126' for receiving the surgical clip and having an inner diameter less than the diameter of enlarged portion E2), or one piece of hypodermic  
25 tubing 122'' as shown in FIGS. 14A-14D. That is the holding mechanism has recesses 126, 126', or 126'' formed therein to receive and/or hold the enlarged end E2 of the clip or anchor 204. In the embodiment of FIGS. 12A-D, the strands 122 have notches 128, as shown in FIGS. 2A-D, to hold enlarged portion 134. Sleeve 124 is retracted to release the holding mechanism and the clip or anchor 204 as shown in FIGS. 12D, 13D, and 14D. As is  
30 apparent from the foregoing, sleeve 124 of the remote release mechanisms illustrated in FIGS. 11, 12A-D, 13A-D, and 14A-D can be slid into flexible member 104 as it is retracted as shown, for example, in FIGS. 2A-D and 12D. The longer the sleeve, the more remotely one can actuate release of the clip.

The hypodermic halves shown in FIGS. 13A-D, also have cut out portions that form arms 240 and collars 242. Collars 242 surround wire 136 and have inner diameters less than the diameter of enlarged portion or ball 134 to secure halves 122' to wire 136. In this manner, the delivery apparatus can be readily removed, while leaving the clip or anchor at the desired site.

The one-piece hypodermic tubing embodiment of FIGS. 14A-D, has a cut out to form a longitudinal opening for releasing a clip or anchor 204 from holding member 122''. The tubing also has cut outs to form arm 240' and collar 242' having an inner diameter less than the diameter of enlarged portion or ball 134 to secure the tubing to wire 136 and facilitate removal of the delivery apparatus, while leaving the clip or anchor at the desired site.

While the invention has been described with reference to specific embodiments, the invention by no means is limited to the specific embodiments illustrated and described herein. It is recognized that departures from the disclosed embodiments may be made within the scope of the invention and that obvious modifications will occur to a person skilled in the art. Accordingly, all suitable modifications and equivalents may be resorted to to the extent that they fall within the scope of the invention and claims appended hereto.

WHAT IS CLAIMED IS:

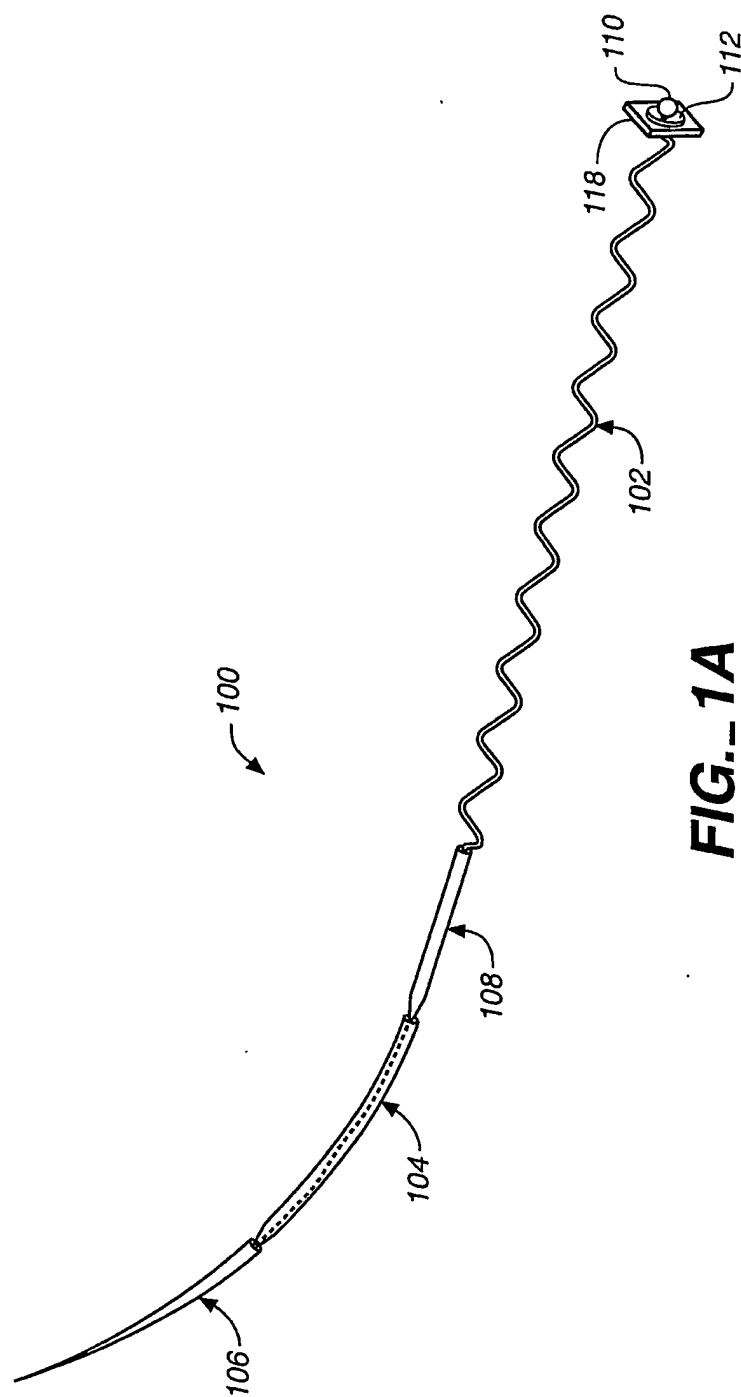
1. An annuloplasty system for repairing a valve in a patient's heart, said annuloplasty system comprising a surgical implant including a member having first and second end portions, said member further being adapted to form a partial ring along a  
5 portion of one of the valve annulae of a patient's heart, said member being axially elastic, said surgical implant further including first and second anchors extending from the end portions of the implant member and adapted to anchor the implant to the valve annulus.
2. The annuloplasty system of claim 1 wherein said implant member comprises at least one spring element that allows axial extension and contraction of the implant.
- 10 3. The annuloplasty system of claim 1 wherein said implant member comprises a wire having a diameter and a plurality of curves, the transverse dimension of said implant being about five to ten times greater than the diameter of said wire.
4. The annuloplasty system of claim 1 wherein said implant comprises wire having an undulating configuration.
- 15 5. The annuloplasty system of claim 4 wherein one of said anchors is pivotally coupled to said implant.
6. The annuloplasty system of claim 4 further including a needle and a flexible member having a first end secured to said needle and a second end releasably coupled to said implant.
- 20 7. The annuloplasty system of claim 6 further including a coupling, said coupling releasably coupling the second end of said flexible member and the first end portion of said implant.
8. The annuloplasty system of claim 3 wherein said implant member comprises a wire having a plurality of loops.
- 25 9. The annuloplasty system of claim 8 wherein said loops form spring elements.
10. The annuloplasty system of claim 1 further including a needle and a flexible member having a first end secured to said needle and a second end releasably coupled to said implant member.

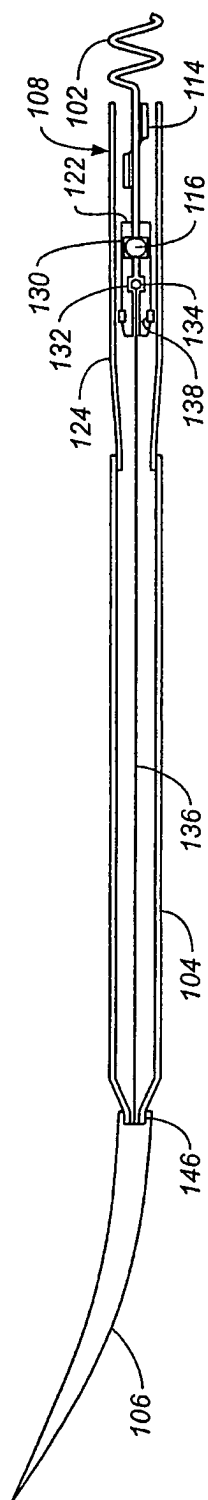
11. An annuloplasty system for repairing a valve in a patient's heart, said annuloplasty system comprising a surgical implant, a needle, a flexible member, and a coupling, said implant being coupled to said coupling, said coupling being secured to said flexible member, and said flexible member being secured to said needle, said implant  
5 including a wire member adapted to form a partial ring along a portion of one of the valve annulae of a patient's heart and being axially elastic such that it can axially expand and contract in response to cardiac movement, said implant wire member having a plurality of curves formed therein.
12. The annuloplasty system of claim 11 wherein said implant member comprises  
10 a wire having an undulating configuration.
13. The annuloplasty system of claim 11 wherein said implant member comprises a wire having a plurality of loops.
14. A remote release mechanism comprising:  
a holding mechanism having a closed configuration adapted for holding a  
15 self-closing clip, and an open configuration for releasing the self-closing clip; and  
a tubular member slidably mounted over said holding mechanism for retaining said holding mechanism in said closed configuration.
15. The remote release mechanism of claim 14 having a self-closing clip being held thereby.
- 20 16. The remote release mechanism of claim 14 further including a wire, said wire being secured to said holding mechanism and extending therefrom for removing said holding mechanism from said self-closing clip when said holding mechanism is in said open configuration.
- 25 17. A tissue connector assembly comprising a needle, a tubular flexible member having one end attached to said needle, a self-closing clip, and a remote release mechanism releasably holding said self-closing clip, said remote release mechanism comprising a holding mechanism and a tubular member, said holding mechanism having a closed configuration adapted for holding said self-closing clip and an open configuration for releasing said self-closing clip, said tubular member being slidably mounted over said  
30 holding mechanism for retaining said holding mechanism in said closed configuration, said

holding mechanism tubular member having a portion slidably disposed in said tubular flexible member.

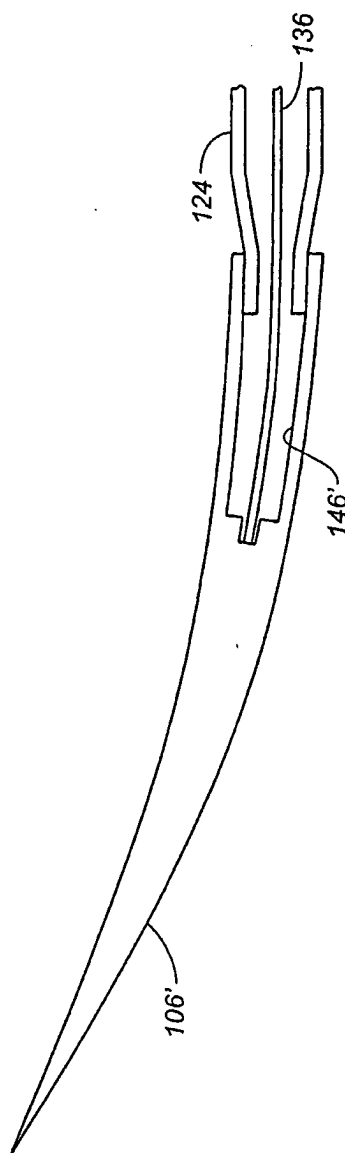
18. The tissue connector assembly of claim 17 further including a wire extending from said needle to said remote release mechanism and being secured to said holding  
5 mechanism.



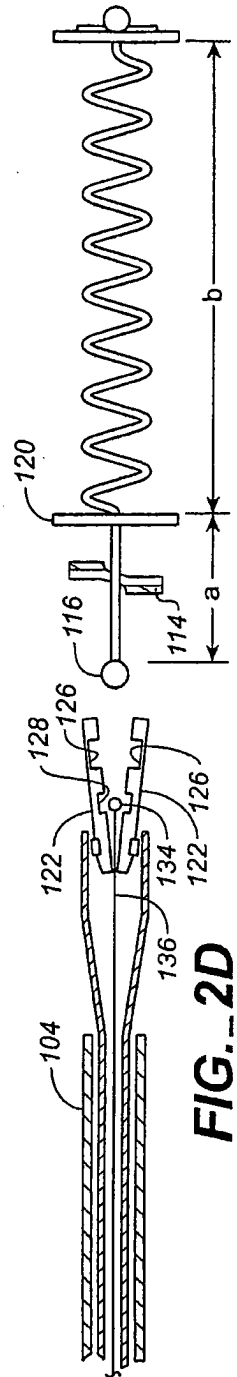
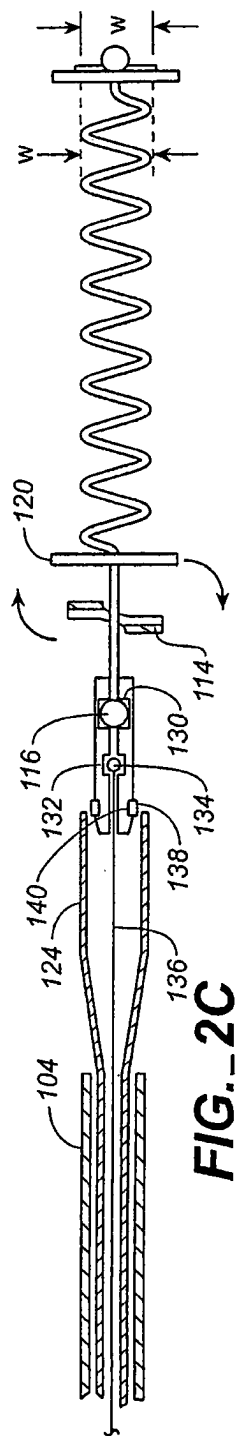
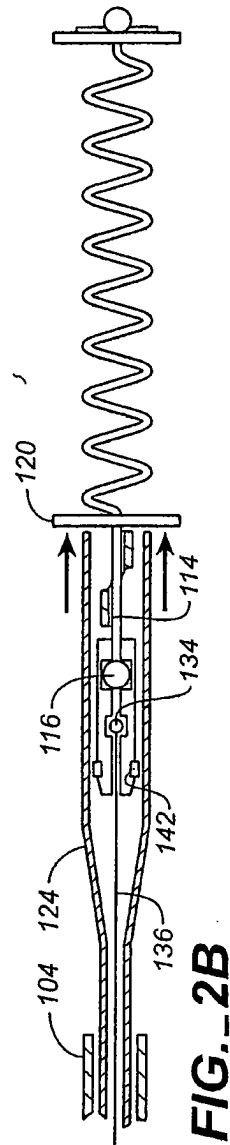
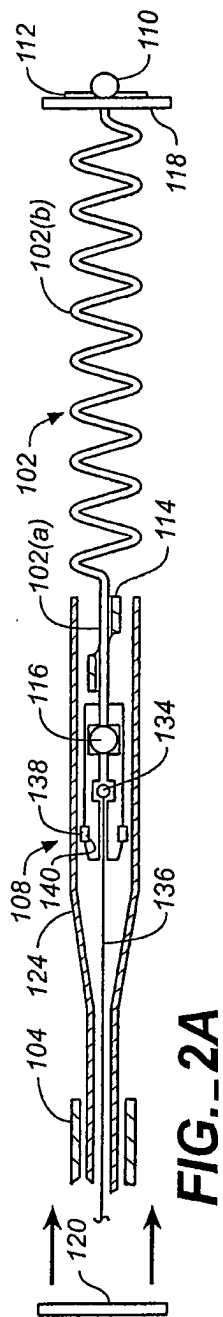
**FIG. 1A**



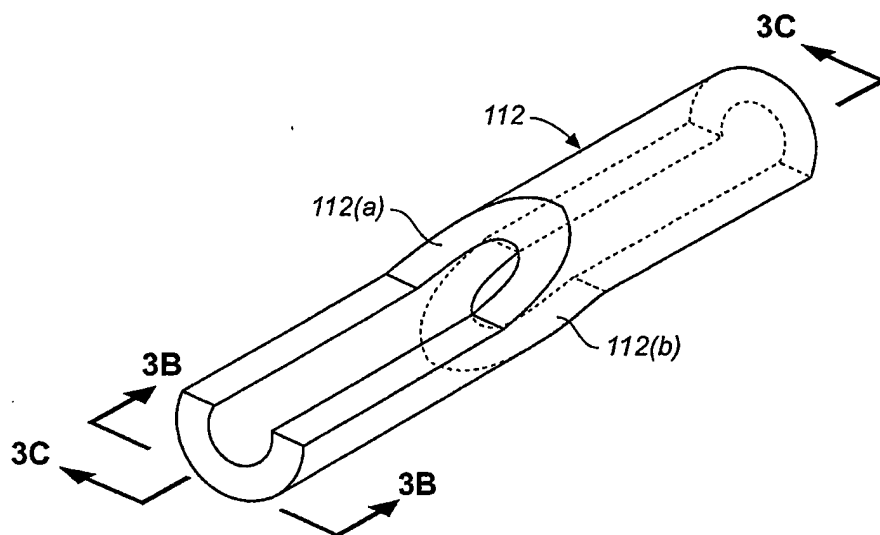
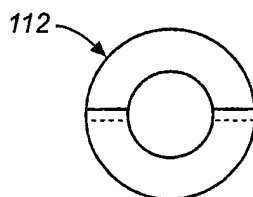
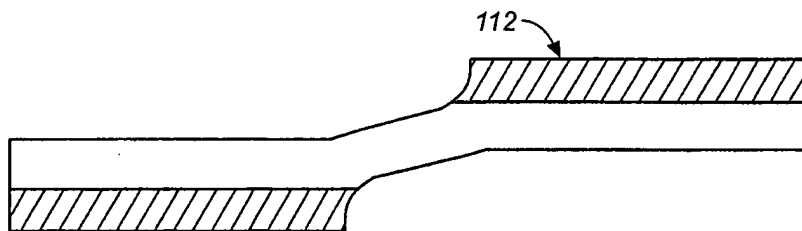
**FIG. 1B**

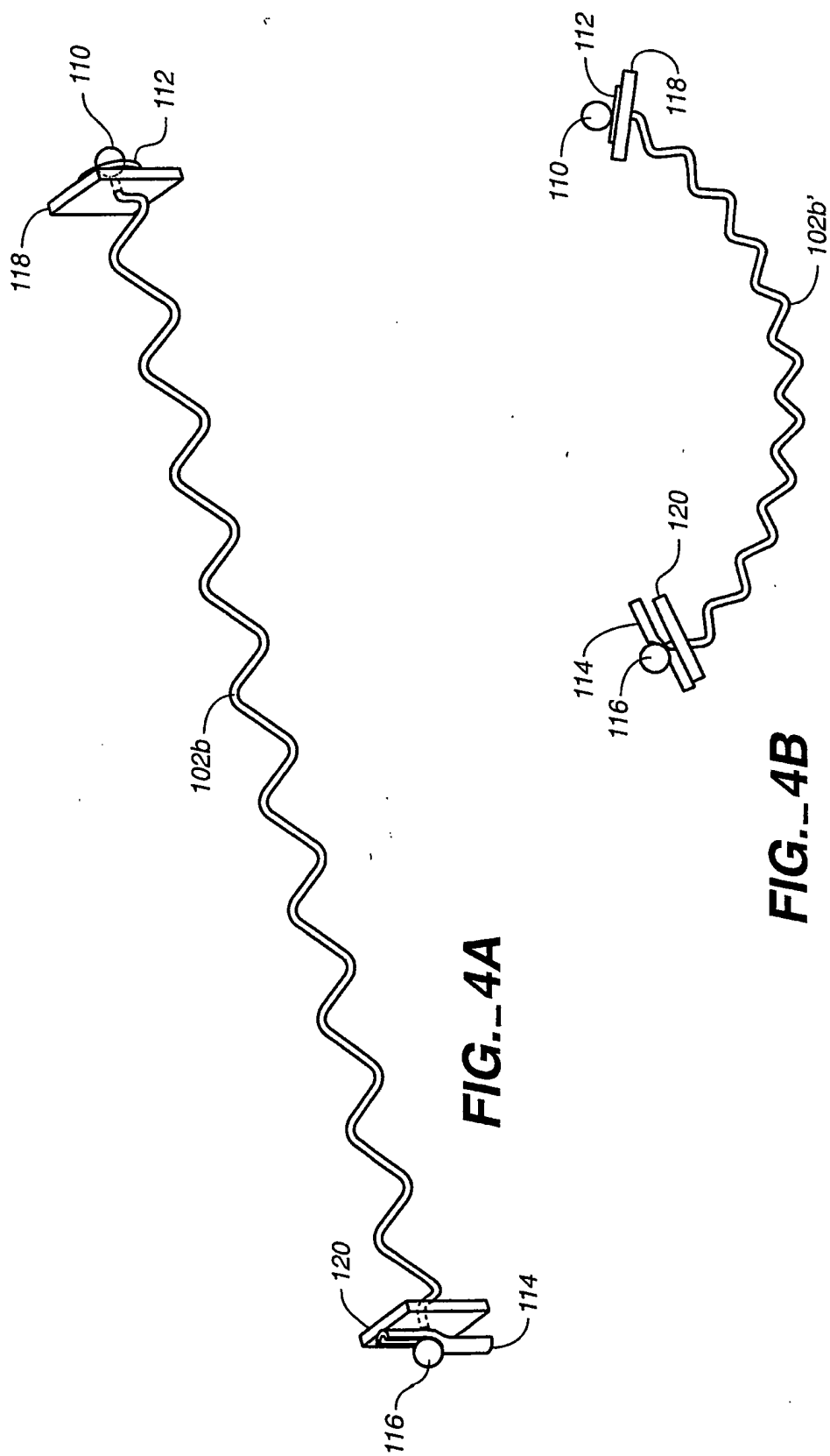


**FIG. 1C**

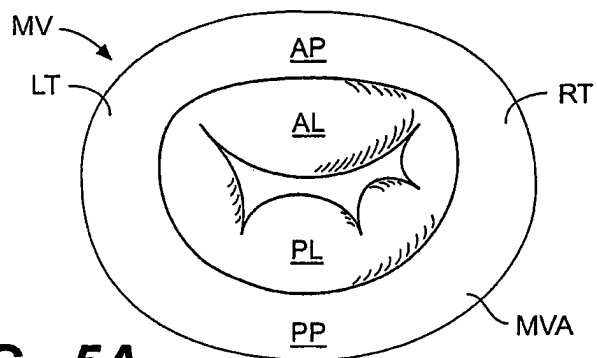


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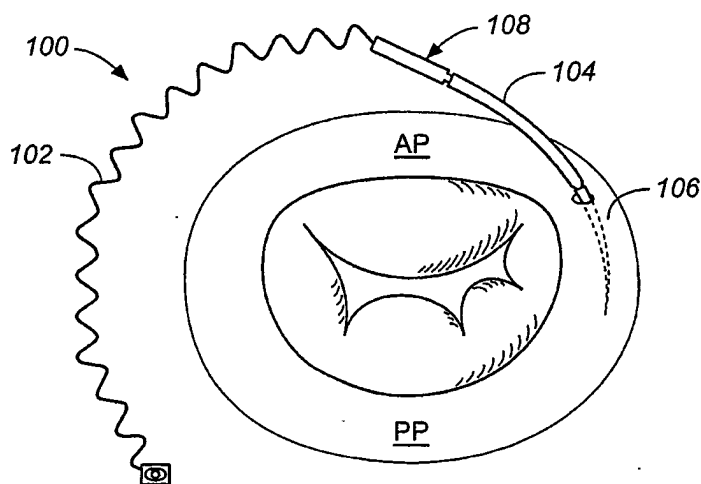
**FIG. 3A****FIG. 3B****FIG. 3C**



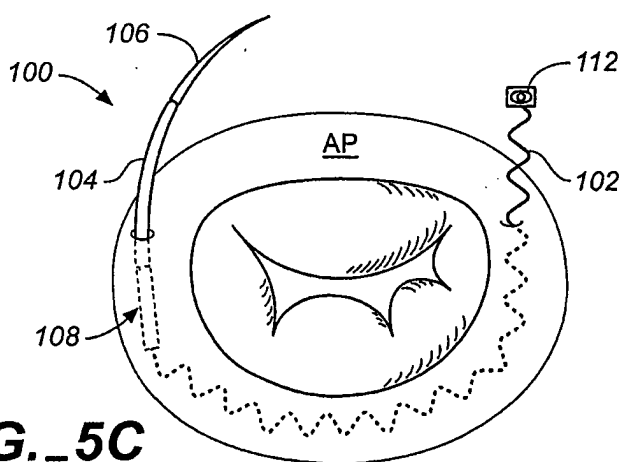
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**FIG. 5A**

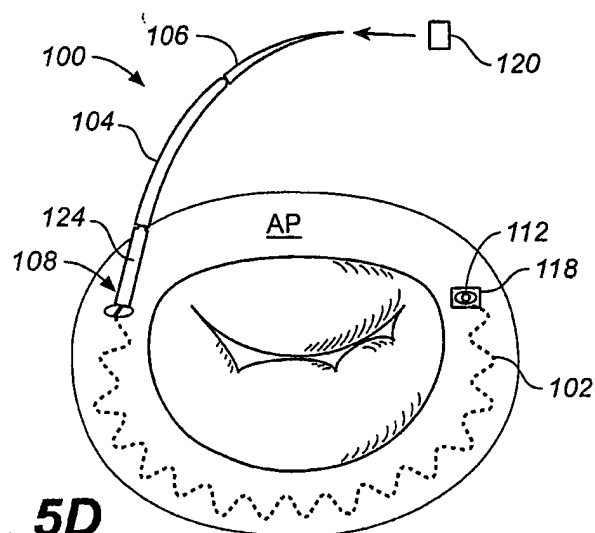


**FIG. 5B**

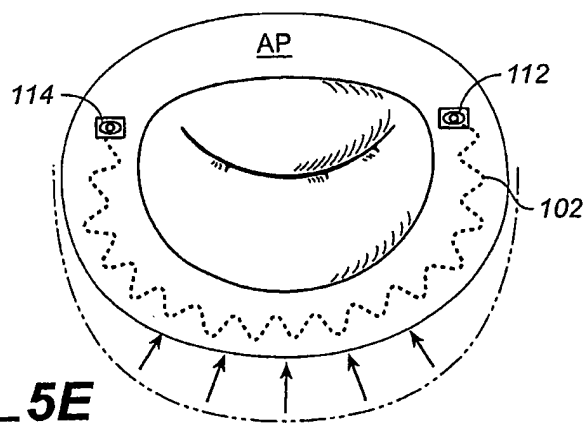


**FIG. 5C**

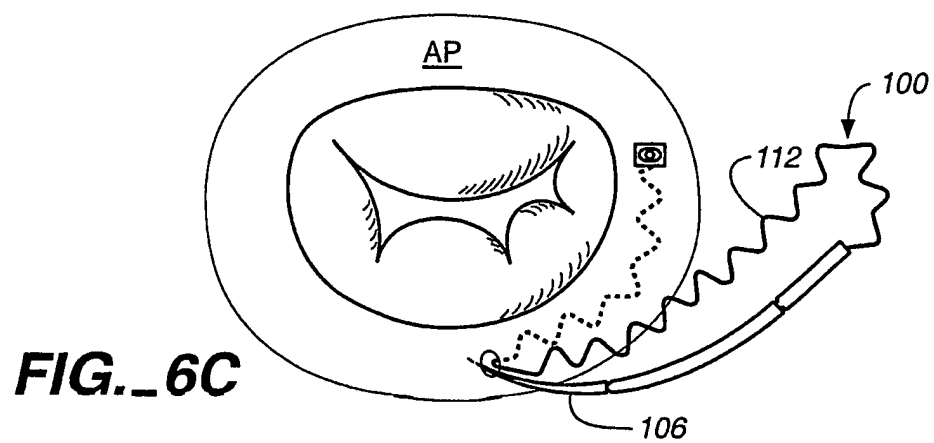
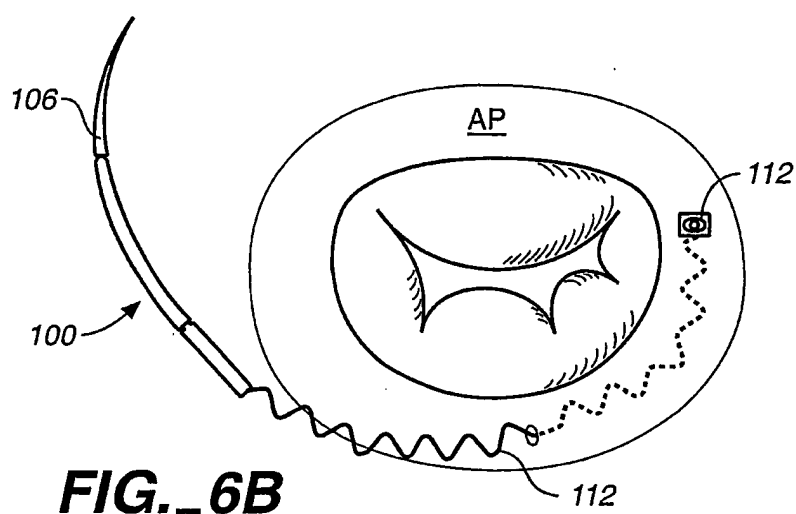
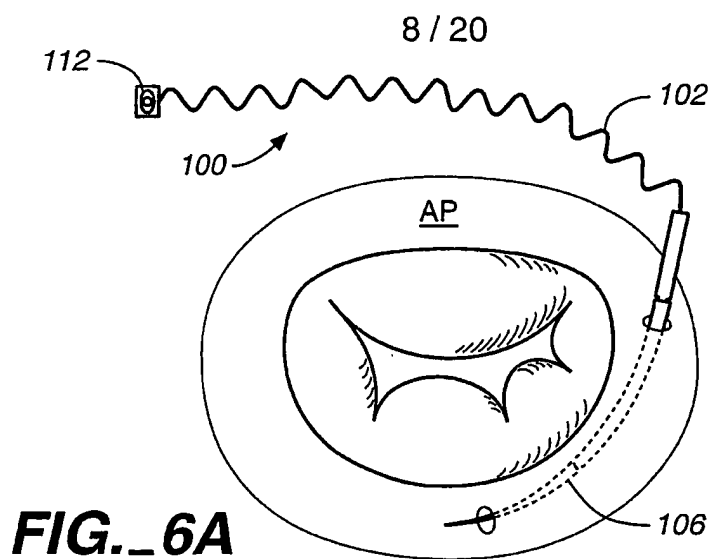
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**FIG. 5D**

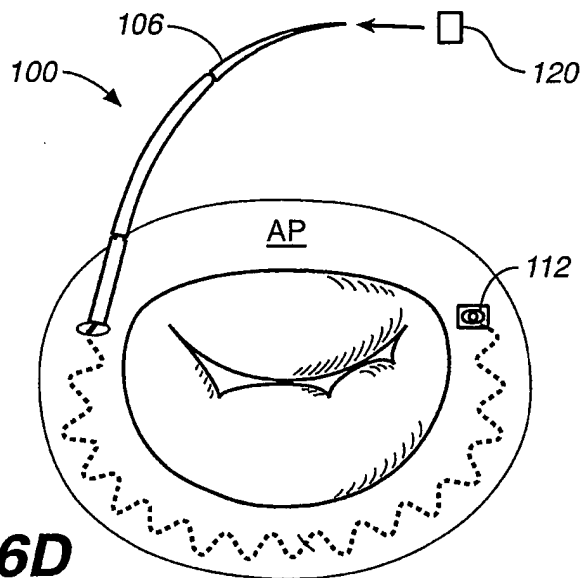


**FIG. 5E**

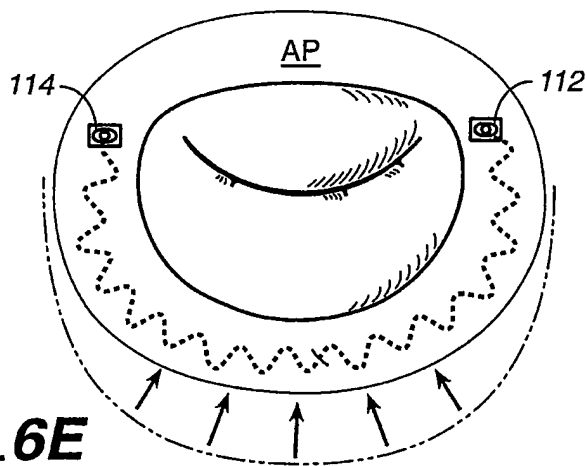




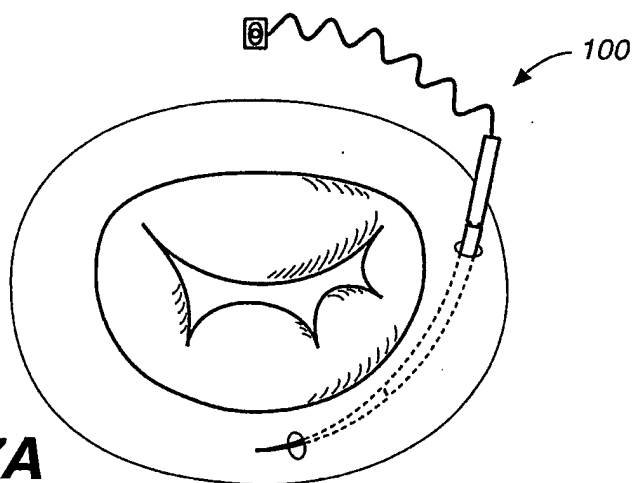
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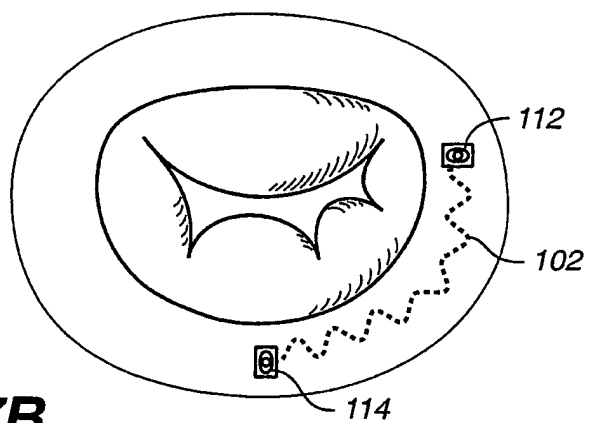
**FIG.\_6D**



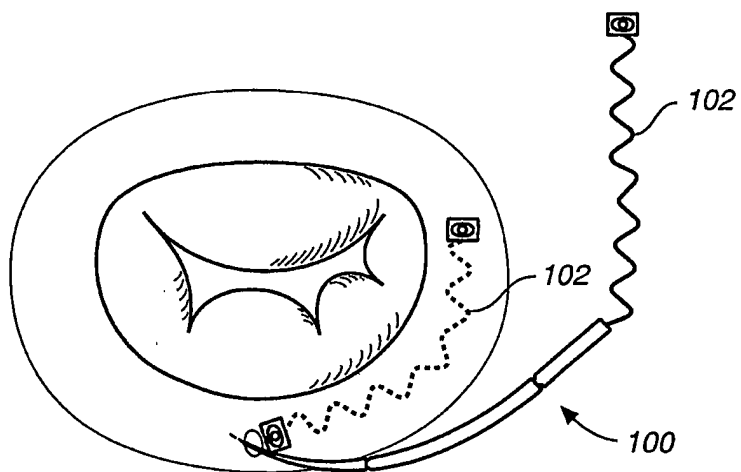
**FIG.\_6E**



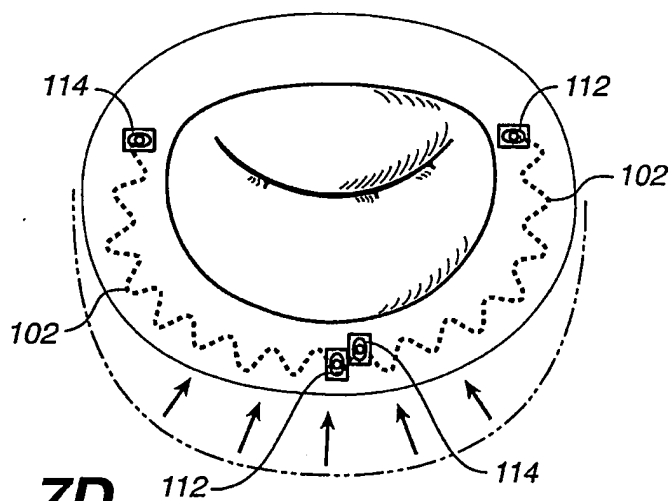
**FIG. 7A**



**FIG. 7B**



**FIG.\_7C**



**FIG.\_7D**

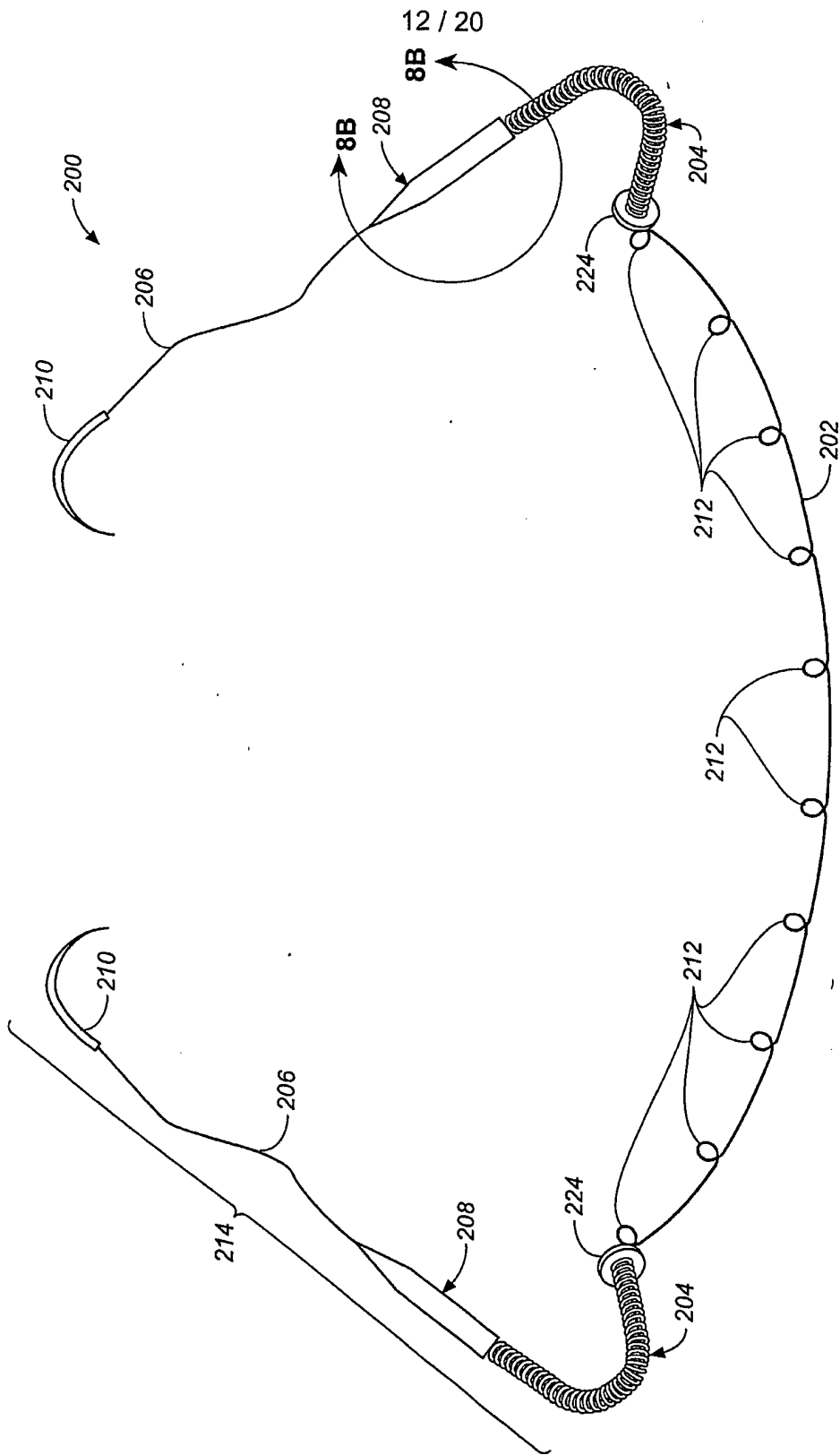


FIG. 8A

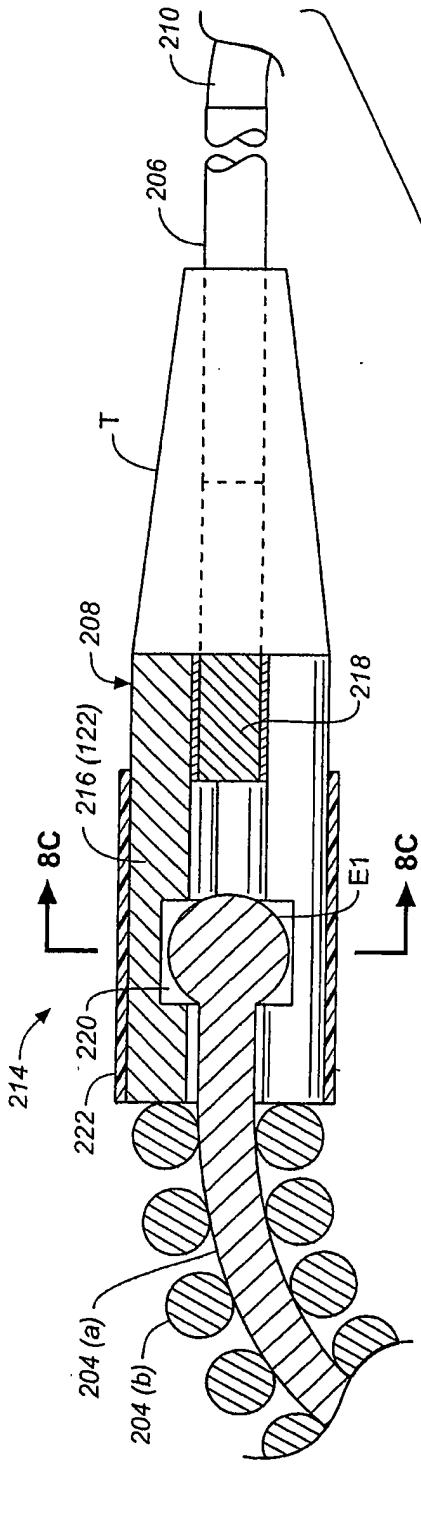


FIG. 8B

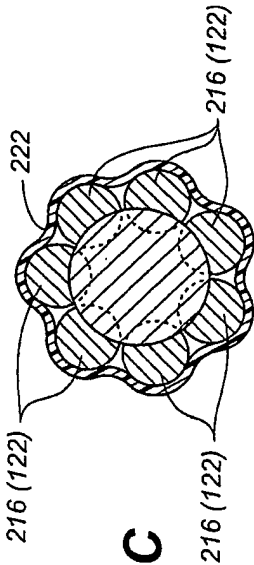
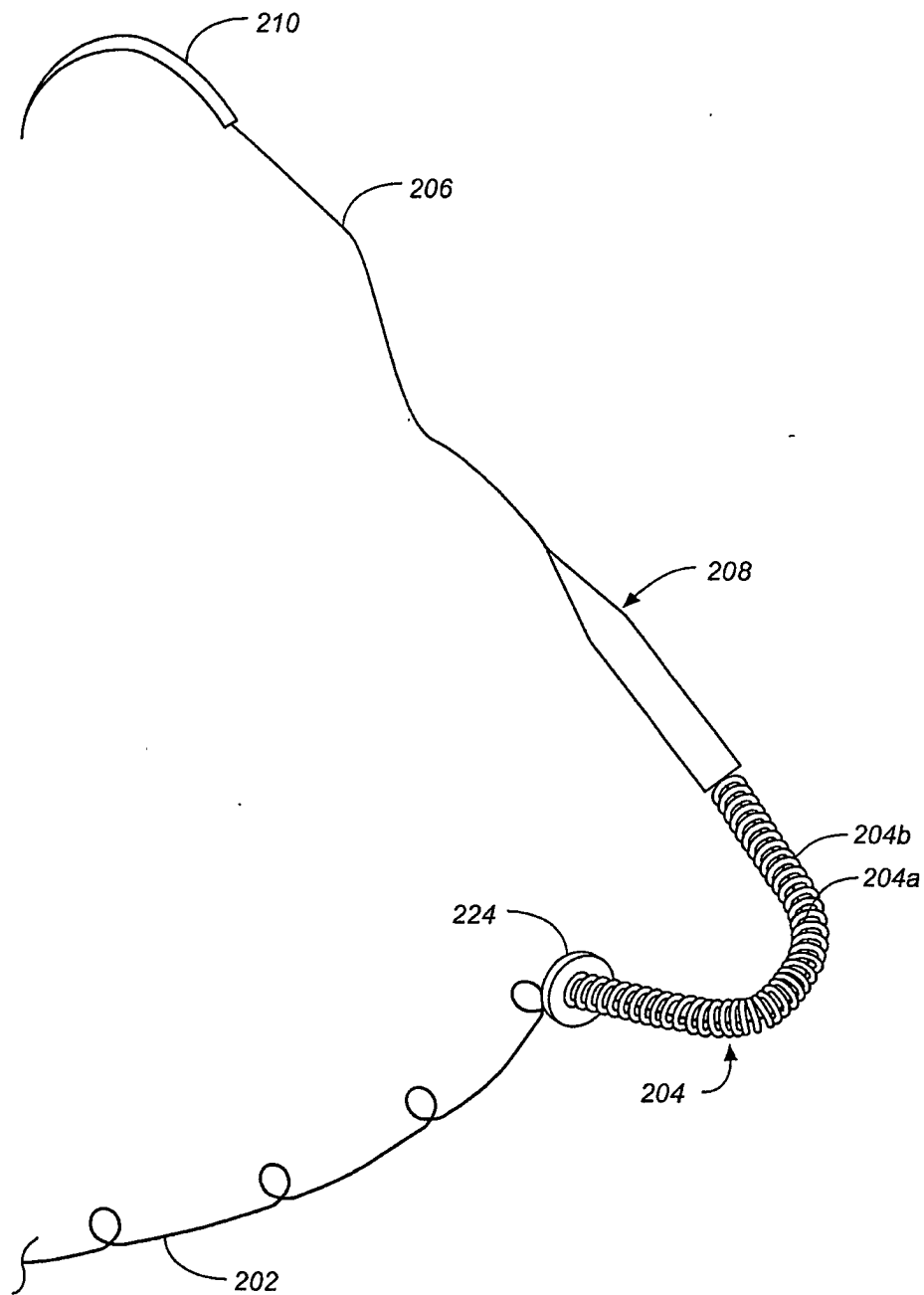
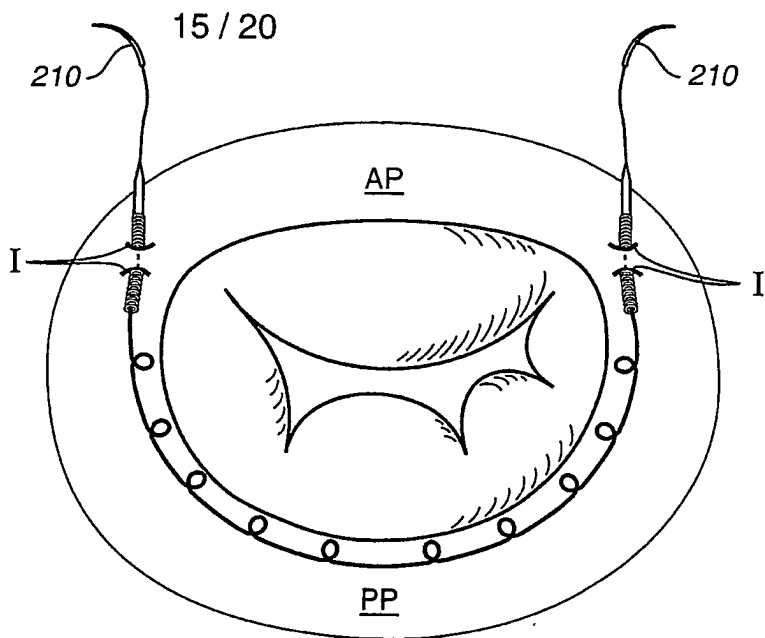


FIG. 8C

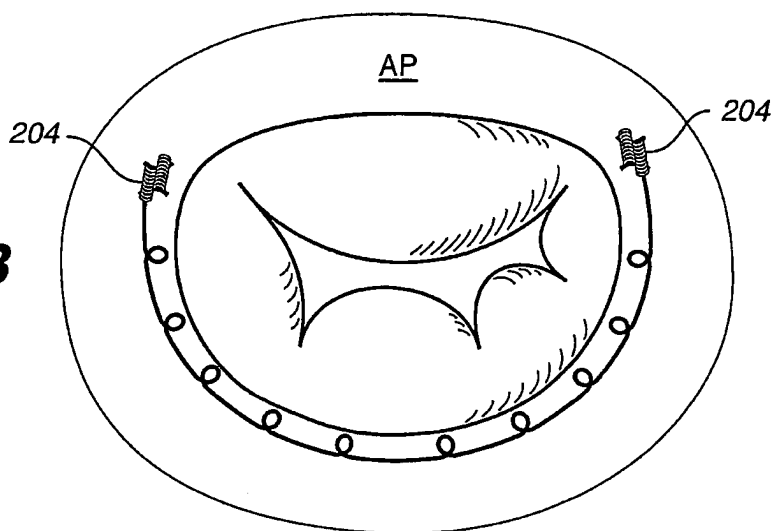
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**FIG. 9**

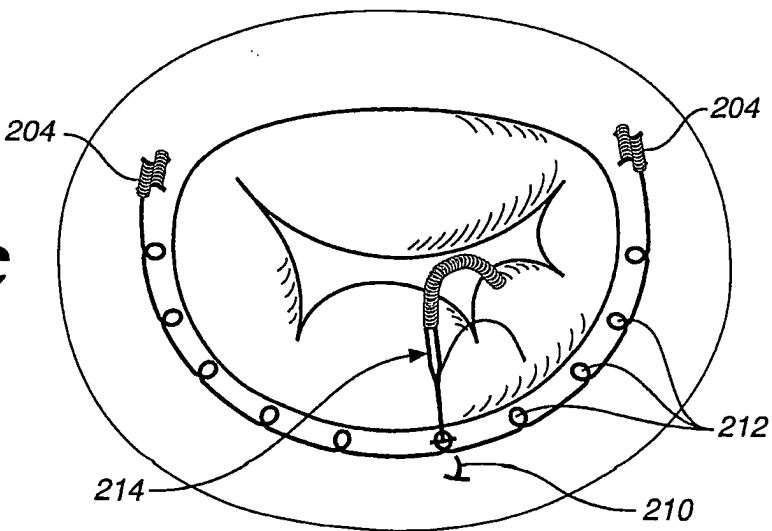
**FIG.\_10A**

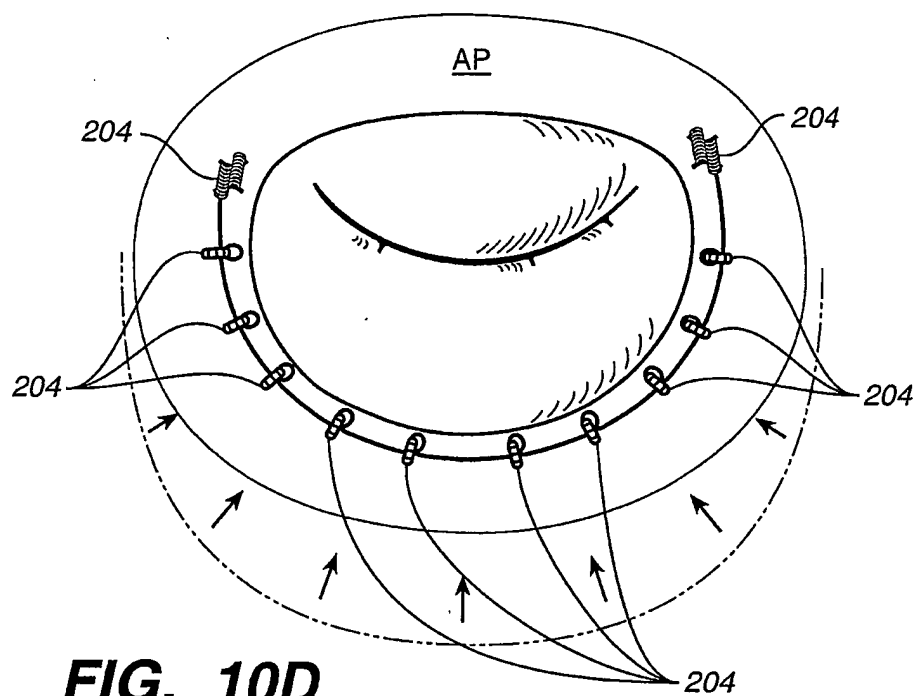
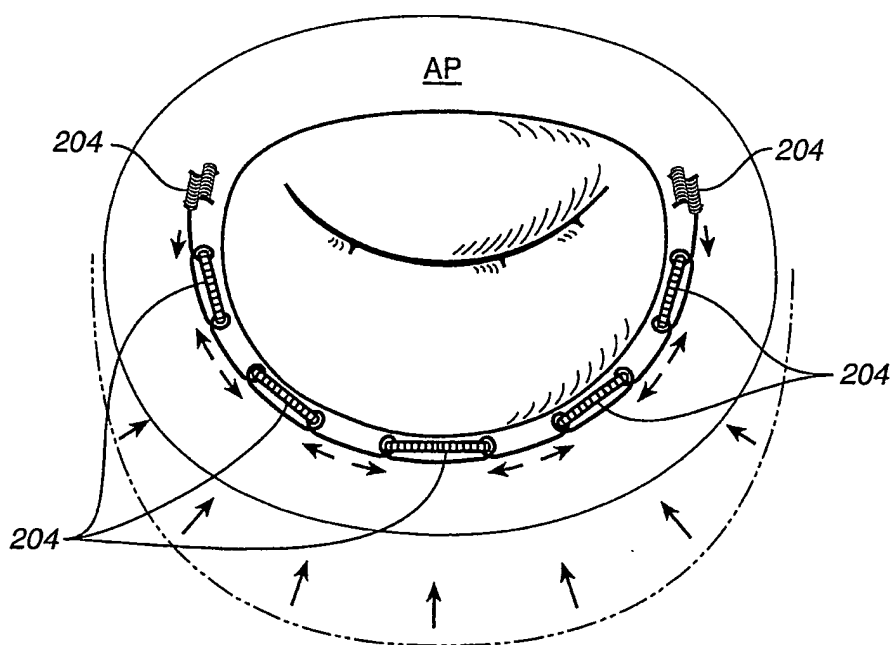


**FIG.\_10B**

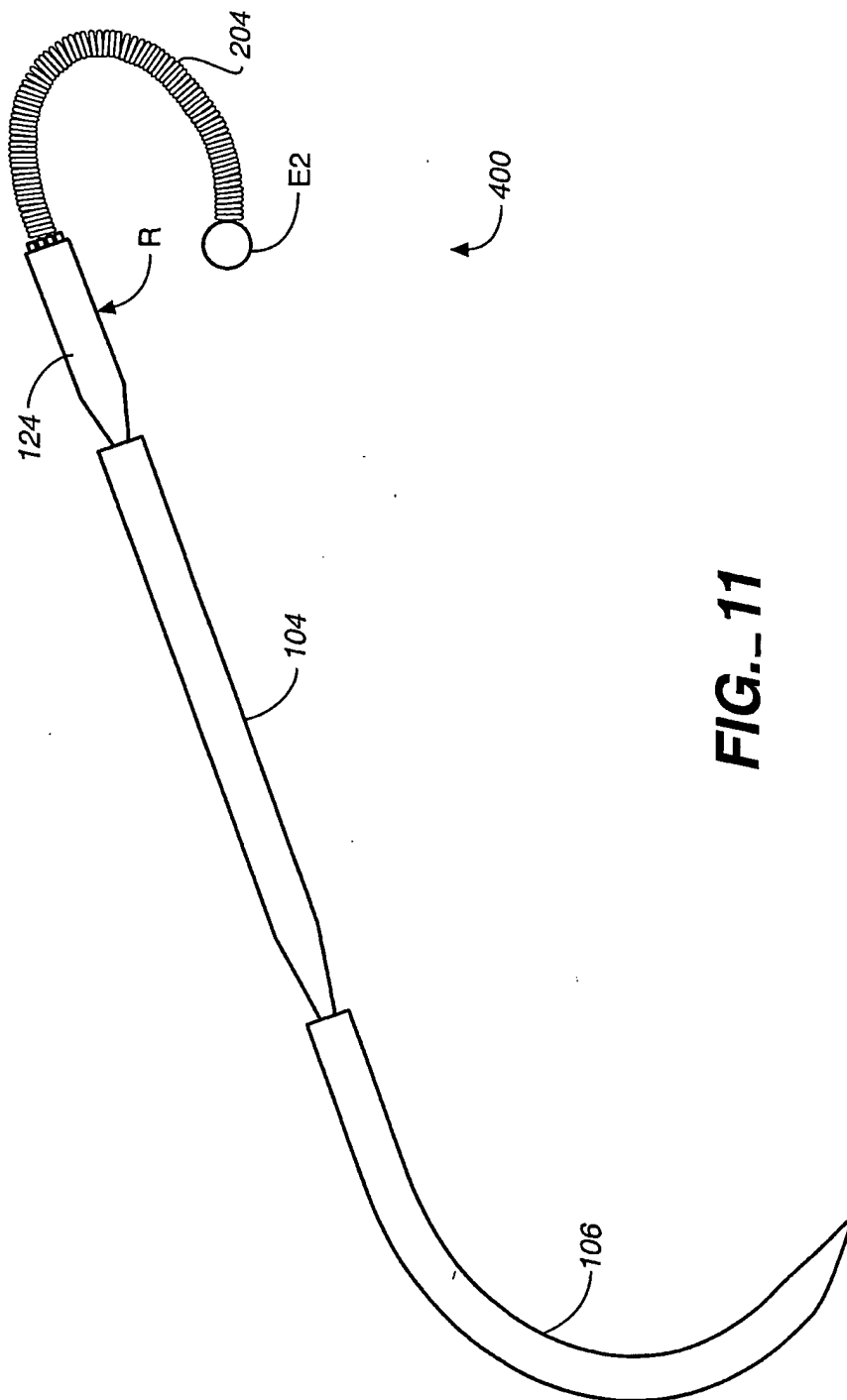


**FIG.\_10C**

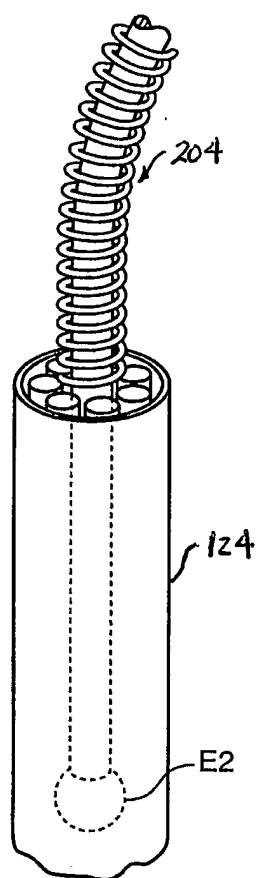


**FIG. 10D****FIG. 10E**

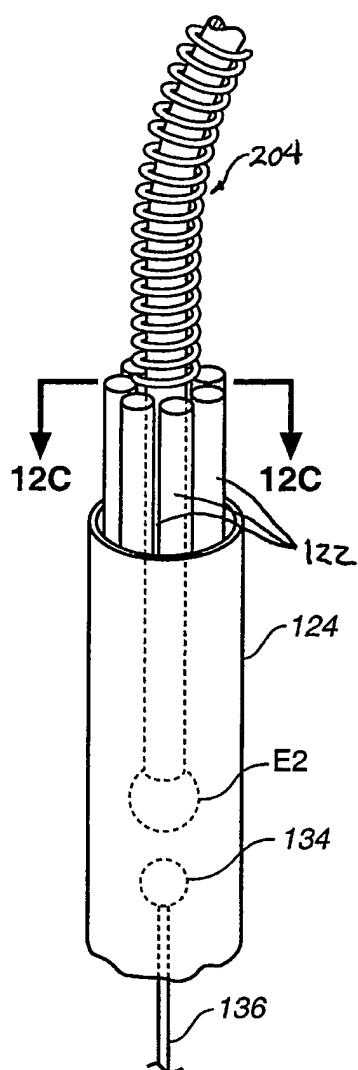




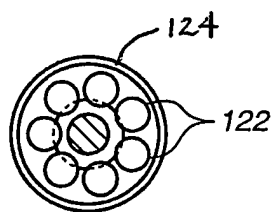
**FIG. 11**



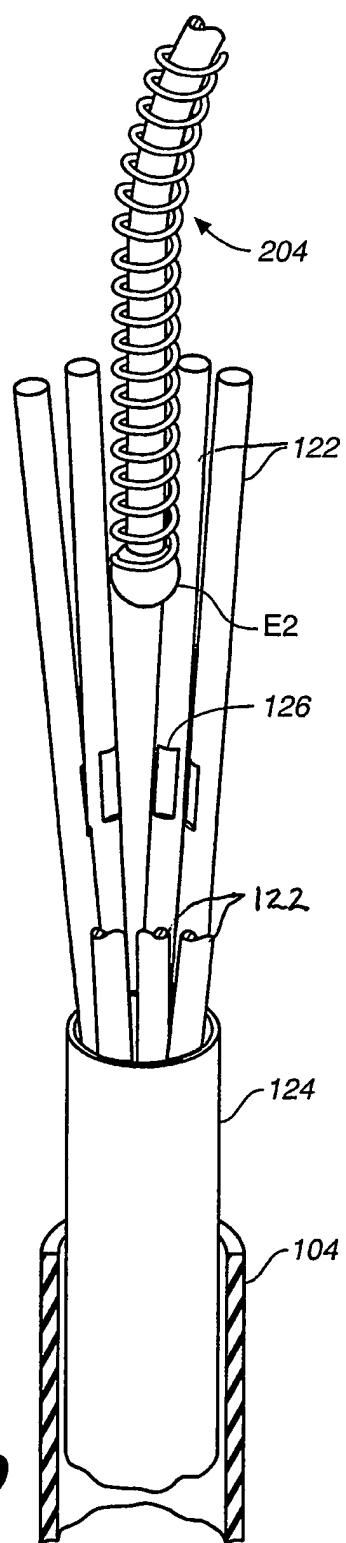
**FIG. 12A**



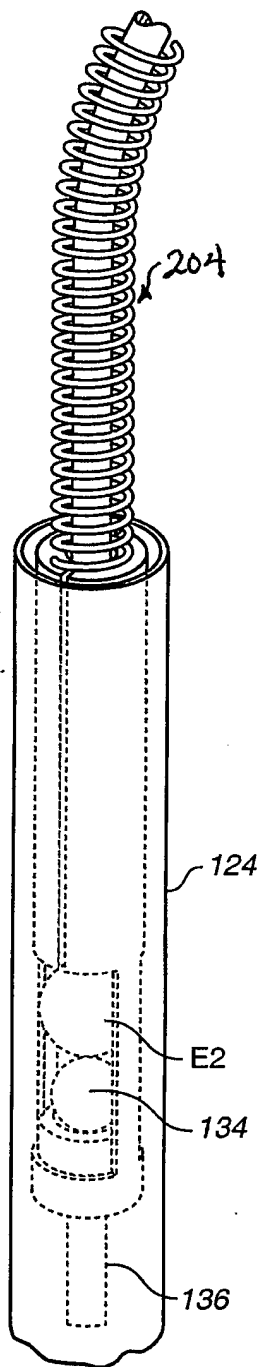
**FIG. 12B**



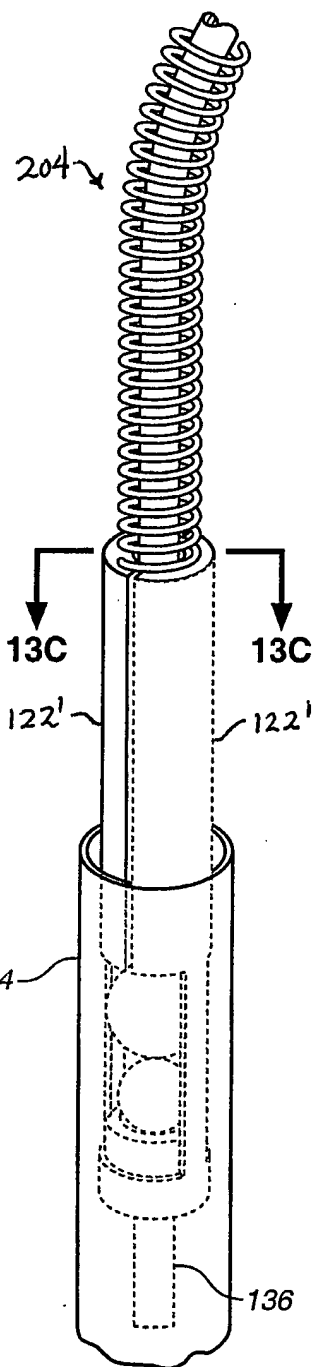
**FIG. 12C**



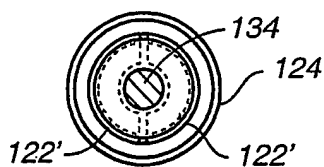
**FIG. 12D**



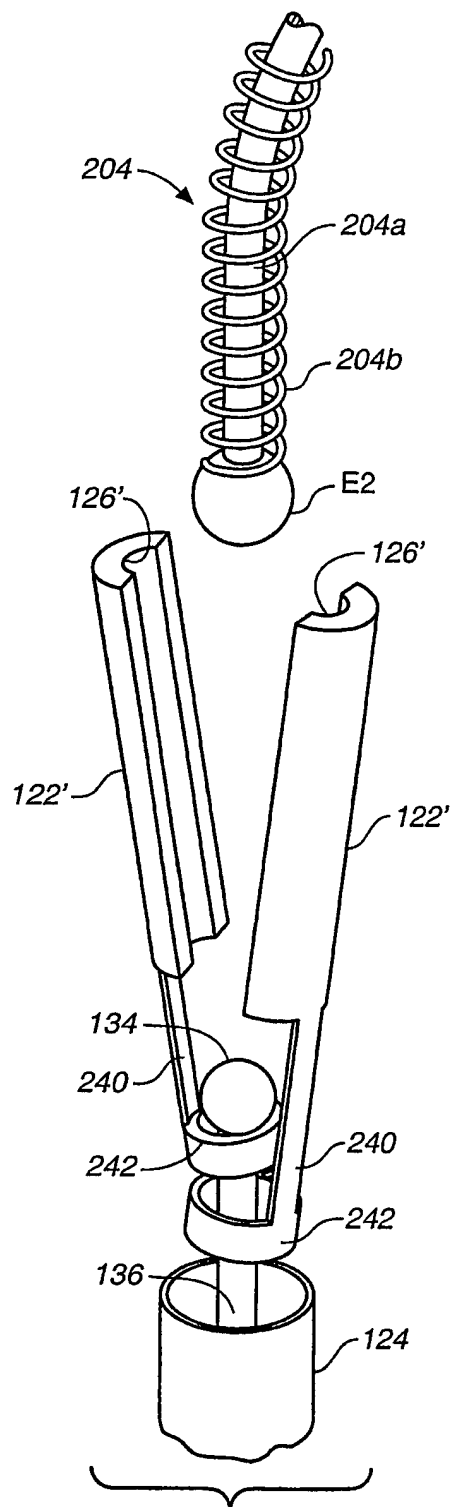
**FIG. 13A**



**FIG. 13B**

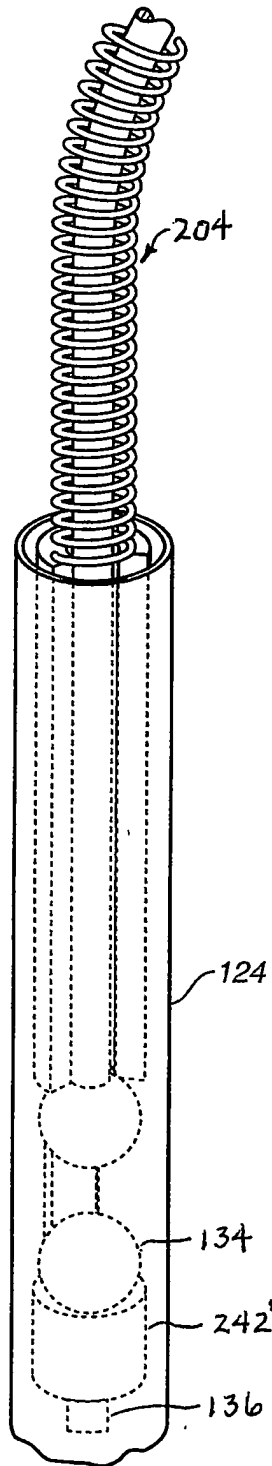


**FIG. 13C**

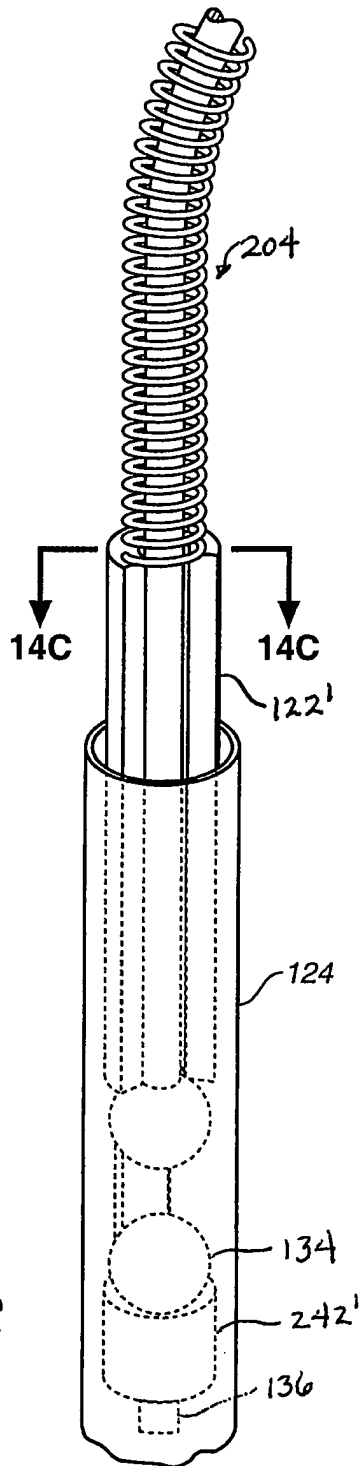


**FIG. 13D**

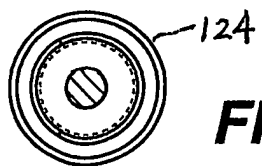
20 / 20



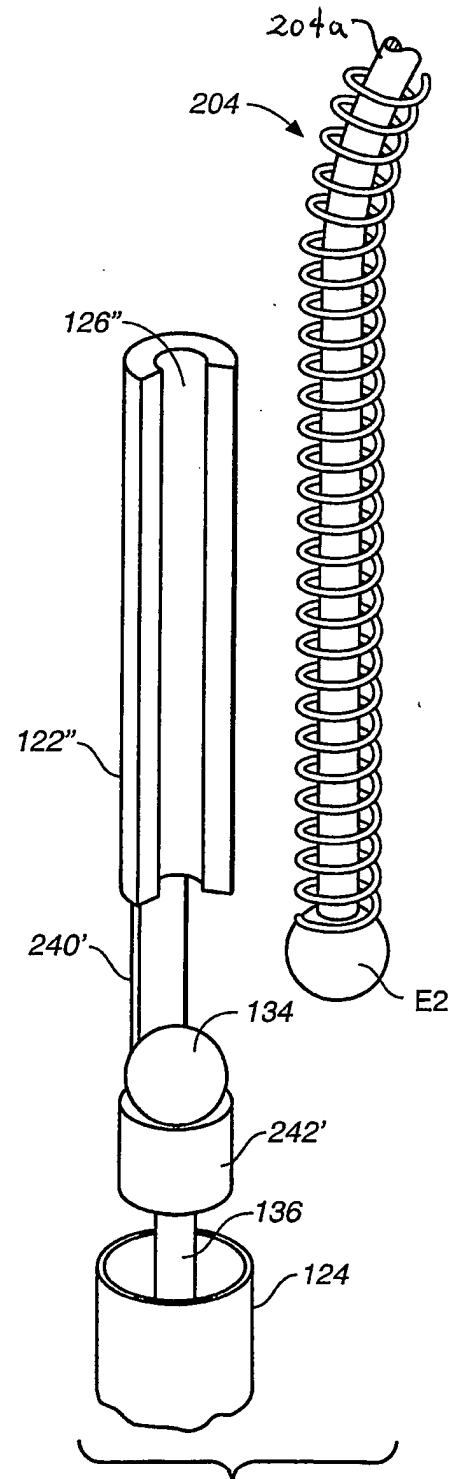
**FIG. 14A**



**FIG. 14B**



**FIG. 14C**



**FIG. 14D**

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/12073

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 2/24

US CL : 623/2.11, 2.36

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/2.11, 2.36, 2.37

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,250,308 B1 (COX) 26 June 2001 (26.06.2001): Figure 1F; column 8, lines 40-42; column 4, lines 33-53; column 5, lines 4-5 and 10-11; column 6, lines 44-48.	1, 2, 10
—		3-9 and 11-13
Y		
Y	US 6,269,819 B1 (OZ et al.) 07 August 2001 (07.08.2001): column 6, lines 16-22, 26-28, 36, and 54; column 7, lines 25-26 and 33; figures.	14-18
X	US 2001/0018611 A1 (SOLEM et al.) 30 August 2001 (30.08.2001): figures; paragraph 0050.	1-5, 8, 9
X	SU 577022 A (BORISENKO et al.) 30 October 1977 (30.10.1977): figures; abstract.	1-3, 8, 9

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

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"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

11 July 2003 (11.07.2003)

Date of mailing of the international search report

15 AUG 2003

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